

judicial review of the determination, as set forth in 5 U.S.C. 552a(g).

(f) If a requester seeking amendment of a record ("disputed record") files a concise statement of disagreement pursuant to 5 U.S.C. 552a(d)(3) and paragraph (e)(2)(ii) of this section, a copy of such statement shall be provided by the Board to any person or agency to whom the disputed record is disclosed subsequent to the filing of the requester's concise statement of disagreement. If an accounting of previous disclosures of such disputed record has been made pursuant to 5 U.S.C. 552a(c), a notation of the disagreement shall be provided by the Board to any person or agency listed in such accounting. If deemed appropriate by the President of the Board, a concise statement of the Board's reasons for not amending the disputed record shall also be provided to any person or agency to whom the disputed record is disclosed subsequent to the filing of the requester's concise statement of disagreement.

§ 1503.10 Disclosure of a record to a person other than the individual to whom it pertains.

(a) Except as provided in paragraph (b) of this section, the Board shall not disclose by any means of communication any record contained in a system of records to any person or agency except with the prior written consent of the individual to whom the record pertains or of his or her guardian.

(b) The restrictions on disclosure in paragraph (a) of this section do not apply to disclosure:

(1) To those officers and employees of the Board who have a need for the record in the performance of their duties;

(2) Required under the Freedom of Information Act, 5 U.S.C. 552;

(3) For a routine use;

(4) To the Bureau of the Census for purposes of planning or carrying out a census or survey or related activity pursuant to the provisions of title 13, United States Code;

(5) To a recipient who has provided the Board with advance adequate written assurance that the record will be used solely as a statistical research or reporting record, the record to be

transferred in a form that is not individually identifiable;

(6) To the National Archives and Records Administration as a record which has sufficient historical or other value to warrant its continued preservation by the United States Government, or for evaluation by the Archivist of the United States or the designee of the Archivist to determine whether the record has such value;

(7) To another agency or to an instrumentality of any governmental jurisdiction within or under the control of the United States for a civil or criminal law enforcement activity if the activity is authorized by law, and if the head of the agency or instrumentality has made a written request to the Board specifying the particular portion desired and the law enforcement activity for which the record is sought;

(8) To a person pursuant to a showing of compelling circumstances affecting the health or safety of an individual if, upon such disclosure, notification is transmitted to the last known address of such individual;

(9) To either House of Congress, or, to the extent of matter within its jurisdiction, any committee or subcommittee thereof, any joint committee of Congress, or subcommittee of any joint committee;

(10) To the Comptroller General, or any of his authorized representatives, in the course of the performance of the duties of the General Accounting Office;

(11) Pursuant to the order of a court of competent jurisdiction; or

(12) To a consumer reporting agency in accordance with 31 U.S.C. 3711(f).

§ 1503.11 Fees.

(a) Records disclosed to requesters pursuant to the Privacy Act and this part shall be duplicated at a cost of \$0.10 per page, except as follows:

(1) If the Privacy Officer determines that access to a record may be provided only by furnishing a copy of the record, no fee will be charged for the first copy of the record or any portion thereof;

(2) If duplication fees do not exceed \$2 for one request, the fees will be waived; and

§ 1503.12

(3) If the Privacy Officer determines it to be in the public interest, the Privacy Officer may waive any duplication fees.

(b) Requesters will not be charged for search or review of a record.

(c) If it is anticipated that duplication fees will exceed \$25, the requester shall be notified promptly, and processing of the request shall be suspended until an agreement to pay the requested fees has been provided by the requester.

§ 1503.12 Exception.

Nothing in this part shall allow access to any information compiled in reasonable anticipation of a civil action or proceeding.

PART 1505—EMPLOYEE RESPONSIBILITIES AND CONDUCT

Subpart A—General Provisions

Sec.

- 1505.1 Purpose and scope.
- 1505.2 Definitions.
- 1505.3 Designated agency ethics official and alternate.
- 1505.4 Employee responsibility, counseling, and distribution of regulation.
- 1505.5 Sanctions and remedial actions.
- 1505.6 Review of remedial actions.

Subpart B—Ethical and Other Conduct and Responsibilities of Employees

- 1505.7 General rules.
- 1505.8 Gifts, entertainment, favors, and loans.
- 1505.9 Travel expenses.
- 1505.10 Use of official information.
- 1505.11 Lectures, speeches, and manuscripts.
- 1505.12 Employment of relatives.
- 1505.13 Use of property and resources owned or controlled by the Board or RTC.
- 1505.14 Indebtedness, gambling, and other conduct.

Subpart C—Financial Interests and Obligations; Outside Employment

- 1505.15 General rules.
- 1505.16 Extensions of credit.
- 1505.17 Securities of insured depository institutions.
- 1505.18 Other investments.
- 1505.19 Purchase of assets of institutions in conservatorship or receivership.
- 1505.20 Purchase of Board or RTC property.
- 1505.21 Providing goods or services to the Board or RTC.
- 1505.22 Outside employment and other ac-

12 CFR Ch. XV (1–1–98 Edition)

tivity.

- 1505.23 Employment of family members by persons other than the Board or RTC.

Subpart D—Confidential Statements of Employment and Financial Interests; Public Financial Disclosure Reports; and Report of Employment Upon Resignation

- 1505.24 Confidential statement of employment and financial interests.
- 1505.25 Public Financial Disclosure Reports.
- 1505.26 Report of employment upon resignation.

Subpart E—Limitations on Activities of Former Employees, Including Special Government Employees

- 1505.27 Limitations on representation.
- 1505.28 Limitations on aiding or advising.
- 1505.29 Consultation as to propriety of appearance before the Board or RTC.
- 1505.30 Suspension of appearance privilege.

Subpart F—Ethical and Other Conduct and Responsibilities of Special Government Employees

- 1505.31 General.
- 1505.32 Applicability of 18 U.S.C. 203 and 205.
- 1505.33 Applicability of 18 U.S.C. 207.
- 1505.34 Applicability of 18 U.S.C. 208.
- 1505.35 Use of Board employment.
- 1505.36 Use of inside information.
- 1505.37 Coercion.
- 1505.38 Advice on rules of conduct and conflicts of interest statutes.
- 1505.39 Disclosure of employment and financial interests.

Subpart G—Competence, Experience, Integrity, and Fitness of Resolution Trust Corporation Employees

- 1505.40 Minimum competence, experience, integrity, and fitness requirements for Resolution Trust Corporation employees.

AUTHORITY: 12 U.S.C. 1441a(a)(13) and (p)(2); 5 CFR part 735.

SOURCE: 55 FR 5358, Feb. 14, 1990, unless otherwise noted.

Subpart A—General Provisions

§ 1505.1 Purpose and scope.

(a) This part establishes the standards of responsibility and conduct for all employees of the Oversight Board.

(b) The following subject areas are covered:

(1) Subpart A of this part provides the definitions to be applied in implementing these standards and sets forth