

day. Subpart A of this part describes OTS's assessment procedures and requirements.

(c) *Fees.* Whether or not you are a savings association, if you make any filings with OTS or use OTS services, the Director may require you to pay a fee to cover the costs of processing your submission or providing those services. The filings for which the Director may charge a fee include notices, applications, and securities filings. Among the services for which the Director may charge a fee are publications, seminars, certifications for official copies of agency documents, and records or services requested by other agencies. The Director also assesses fees for examining and investigating savings associations that administer trust assets of \$1 billion or less, and affiliates of savings associations. If you are a savings association and you or any of your affiliates cause OTS to incur extraordinary expenses related to your examination, investigation, regulation, or supervision, the Director may charge you a fee to fund those expenses. Subpart B of this part describes OTS's fee procedures and requirements.

**Subpart A—Assessments**

**§ 502.10 How does OTS calculate my assessment?**

OTS determines your semi-annual assessment by totaling three components: your size, your condition, and the complexity of your business. For the size and complexity components, OTS uses the September 30 Thrift Financial Report to determine amounts due at the January 31 assessment; and the March 31 Thrift Financial Report to determine amounts due at the July 31 assessment. For purposes of this subpart, total assets are your total assets as reported on Thrift Financial Reports filed with OTS. For the condition component, OTS uses the most recent composite rating, as defined in 12 CFR part 516, of which you have been notified in writing before an assessment's due date.

**§ 502.15 How does OTS determine my size component?**

(a) *General.* (1) Unless you are a qualifying savings association under paragraph (b) of this section, OTS uses the following chart to calculate your size component:

| If your total assets are: |                    | Your size component is:                   |                        |                                |
|---------------------------|--------------------|---|------------------------|--------------------------------|
| Over—                     | But not over—      | This amount—<br>Base assessment<br>amount | Plus—<br>Marginal rate | Of assets over—<br>Class floor |
| Column A                  | Column B           | Column C                                  | Column D               | Column E                       |
| 0 .....                   | \$67 million ..... | C1  | D1                     | 0.                             |
| \$67 million .....        | 215 million .....  | C2  | D2                     | \$67 million.                  |
| 215 million .....         | 1 billion .....    | C3  | D3                     | 215 million.                   |
| 1 billion .....           | 6.03 billion ..... | C4  | D4                     | 1 billion.                     |
| 6.03 billion .....        | 18 billion .....   | C5  | D5                     | 6.03 billion.                  |
| 18 billion .....          | 35 billion .....   | C6  | D6                     | 18 billion.                    |
| 35 billion .....          | .....              | C7  | D7                     | 35 billion.                    |

(2) To calculate your size component, find the row in Columns A and B that describes your total assets. Reading across in that same row, find your base assessment amount in Column C, your marginal rate in Column D, and your class floor in Column E. Calculate how much your total assets exceed your Column E class floor. Multiply this number by your Column D marginal rate. Add this number to your Column C base assessment amount. The total is

your size component. OTS will establish the base assessment amounts and the marginal rates in columns C and D in a Thrift Bulletin.

(b) *Special size component calculation for qualifying savings associations.* If you meet all of the criteria set forth in paragraph (b)(1) of this section, you are a qualifying savings association and OTS will calculate your size component in accordance with paragraph (b)(2) of this section.