

§ 103.33

closed, shall only be made in conformance with the procedures provided in § 103.5.

[T.D. 81-168, 46 FR 32565, June 24, 1981. Redesignated by T.D. 96-36, 61 FR 19838, May 3, 1996]

**§ 103.33 Release of information to foreign agencies.**

(a) The Commissioner or his designee may authorize Customs officers to exchange information or documents with foreign customs and law enforcement agencies if the Commissioner or his designee reasonably believes the exchange of information is necessary to—

(1) Ensure compliance with any law or regulation enforced or administered by Customs;

(2) Administer or enforce multilateral or bilateral agreements to which the U.S. is a party;

(3) Assist in investigative, judicial and quasi-judicial proceedings in the U.S.; and

(4) An action comparable to any of those described in paragraphs (a) (1) through (3) of this section undertaken by a foreign customs or law enforcement agency, or in relation to a proceeding in a foreign country.

(b)(1) Information may be provided to foreign customs and law enforcement agencies under paragraph (a) of this section only if the Commissioner or his designee obtains assurances from such agencies that such information will be held in confidence and used only for the law enforcement purposes for which such information is provided to such agencies by the Commissioner or his designee.

(2) No information may be provided under paragraph (a) of this section to any foreign customs or law enforcement agency that has violated any assurances described in paragraph (b)(1) of this section.

[T.D. 86-196, 51 FR 40792, Nov. 10, 1986. Redesignated by T.D. 96-36, 61 FR 19838, May 3, 1996]

**§ 103.34 Sanctions for improper actions by Customs officers or employees.**

(a) The improper disclosure of the confidential information contained in Customs documents, or the disclosure of information relative to the business of one importer or exporter that is ac-

19 CFR Ch. I (4-1-99 Edition)

quired by a Customs officer or employee in an official capacity to any person not authorized by law or regulations to receive this information is a ground for dismissal from the United States Customs Service, suspension, or other disciplinary action, and if done for a valuable consideration subjects that person to criminal prosecution.

(b) Sanctions for improper denials of information by Customs officers or employees are set forth in § 103.9(c).

[T.D. 81-168, 46 FR 32565, June 24, 1981. Redesignated by T.D. 96-36, 61 FR 19838, May 3, 1996]

**PART 111—CUSTOMS BROKERS**

Sec.

111.0 Scope.

**Subpart A—General Provisions**

111.1 Definitions.

111.2 License and district permit required.

111.3 Transactions for which license is not required.

111.4 Transacting customs business without a license.

111.5 Representation before Government agencies.

**Subpart B—Procedure To Obtain License or Permit**

111.11 Basic requirements.

111.12 Application for license.

111.13 Examination of applicant for individual license.

111.14 Investigation of the applicant.

111.15 Issuance of license.

111.16 Denial of license.

111.17 Review of the denial of a license.

111.18 Reapplication for license.

111.19 Permits.

**Subpart C—Duties and Responsibilities of Customs Brokers**

111.21 Record of transactions.

111.22 [Reserved]

111.23 Retention of records.

111.24 Records confidential.

111.25 Records shall be available.

111.26 Interference with examination of records.

111.27 Audit or inspection of records.

111.28 Responsible supervision.

111.29 Diligence in correspondence and paying monies.

111.30 Notification of change of business address, organization, name, or location of business records; status report.

111.31 Conflict of interest.

111.32 False information.