

**Surface Mining Reclamation and Enforcement, Interior**

**§ 845.15**

(4) Good faith in attempting to achieve compliance.

(i) The Office shall add points based on the degree of good faith of the person to whom the notice or order was issued in attempting to achieve rapid compliance after notification of the violation. Points shall be assigned as follows:

Degree of good faith	Points
Rapid compliance .....	- 1 to -10.
Normal compliance .....	0.

(ii) The following definitions shall apply under paragraph (b)(4)(i) of this section:

(A) Rapid compliance means that the person to whom the notice or order was issued took extraordinary measures to abate the violation in the shortest possible time and that abatement was achieved before the time set for abatement.

(B) Normal compliance means the person to whom the notice or order was issued abated the violation within the time given for abatement.

(iii) If the consideration of this criterion is impractical because of the length of the abatement period, the assessment may be made without considering this criterion and may be reassessed after the violation has been abated.

**§ 845.14 Determination of amount of penalty.**

The Office shall determine the amount of any civil penalty by converting the total number of points assigned under 30 CFR 845.13 to a dollar amount, according to the following schedule:

Points	Dollars
1 .....	22
2 .....	44
3 .....	66
4 .....	88
5 .....	110
6 .....	132
7 .....	154
8 .....	176
9 .....	198
10 .....	220
11 .....	242
12 .....	264
13 .....	286
14 .....	308
15 .....	330
16 .....	352

Points	Dollars
17 .....	374
18 .....	396
19 .....	418
20 .....	440
21 .....	462
22 .....	484
23 .....	506
24 .....	528
25 .....	550
26 .....	660
27 .....	770
28 .....	880
29 .....	990
30 .....	1,100
31 .....	1,210
32 .....	1,320
33 .....	1,430
34 .....	1,540
35 .....	1,650
36 .....	1,760
37 .....	1,870
38 .....	1,980
39 .....	2,090
40 .....	2,200
41 .....	2,310
42 .....	2,420
43 .....	2,530
44 .....	2,640
45 .....	2,750
46 .....	2,860
47 .....	2,970
48 .....	3,080
49 .....	3,190
50 .....	3,300
51 .....	3,410
52 .....	3,520
53 .....	3,630
54 .....	3,740
55 .....	3,850
56 .....	3,960
57 .....	4,070
58 .....	4,180
59 .....	4,290
60 .....	4,400
61 .....	4,510
62 .....	4,620
63 .....	4,730
64 .....	4,840
65 .....	4,950
66 .....	5,060
67 .....	5,170
68 .....	5,280
69 .....	5,390
70 .....	5,500

[47 FR 35640, Aug. 16, 1982, as amended at 62 FR 63276, Nov. 28, 1997]

**§ 845.15 Assessment of separate violations for each day.**

(a) The Office may assess separately a civil penalty for each day from the date of issuance of the notice of violation or cessation order to the date set for abatement of the violation. In determining whether to make such an assessment, the Office shall consider the factors listed in 30 CFR 845.13 and may consider the extent to which the person to whom the notice or order was issued

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gained any economic benefit as a result of a failure to comply. For any violation which continues for two or more days and which is assigned more than 70 points under §845.13(b), the Office shall assess a penalty for a minimum of two separate days.

(b) In addition to the civil penalty provided for in paragraph (a), whenever a violation contained in a notice of violation or cessation order has not been abated within the abatement period set in the notice or order or as subsequently extended pursuant to section 521(a) of the Act, a civil penalty of not less than \$825 shall be assessed for each day during which such failure to abate continues, except that:

(1)(i) If suspension of the abatement requirements of the notice or order is ordered in a temporary relief proceeding under section 525(c) of the Act, after a determination that the person to whom the notice or order was issued will suffer irreparable loss or damage from the application of the requirements, the period permitted for abatement shall not end until the date on which the Office of Hearings and Appeals issues a final order with respect to the violation in question; and

(ii) If the person to whom the notice or order was issued initiates review proceedings under section 526 of the Act with respect to the violation, in which the obligations to abate are suspended by the court pursuant to section 526(c) of the Act, the daily assessment of a penalty shall not be made for any period before entry of a final order by the court;

(2) Such penalty for the failure to abate the violation shall not be assessed for more than 30 days for each such violation. If the permittee has not abated the violation within the 30-day period, the Office shall take appropriate action pursuant to section 518(e), 518(f), 521(a)(4), or 521(c) of the Act within 30 days to ensure that abatement occurs or to ensure that there will not be a reoccurrence of the failure to abate.

[47 FR 35640, Aug. 16, 1982, as amended at 62 FR 63277, Nov. 28, 1997]

## 30 CFR Ch. VII (7-1-00 Edition)

### § 845.16 Waiver of use of formula to determine civil penalty.

(a) The Director, upon his own initiative or upon written request received within 15 days of issuance of a notice of violation or a cessation order, may waive the use of the formula contained in 30 CFR 845.13 to set the civil penalty, if he or she determines that, taking into account exceptional factors present in the particular case, the penalty is demonstrably unjust. However, the Director shall not waive the use of the formula or reduce the proposed assessment on the basis of an argument that a reduction in the proposed penalty could be used to abate violations of the Act, this chapter, any applicable program, or any condition of any permit or exploration approval. The basis for every waiver shall be fully explained and documented in the records of the case.

(b) If the Director waives the use of the formula, he or she shall use the criteria set forth in 30 CFR 845.13(b) to determine the appropriate penalty. When the Director has elected to waive the use of the formula, he or she shall give a written explanation of the basis for the assessment made to the person to whom the notice or order was issued.

### § 845.17 Procedures for assessment of civil penalties.

(a) Within 15 days of service of a notice or order, the person to whom it was issued may submit written information about the violation to the Office and to the inspector who issued the notice of violation or cessation order. The Office shall consider any information so submitted in determining the facts surrounding the violation and the amount of the penalty.

(b) The Office shall serve a copy of the proposed assessment and of the work sheet showing the computation of the proposed assessment on the person to whom the notice or order was issued, by certified mail, or by any alternative means consistent with the rules governing service of a summons or complaint under rule 4 of the Federal Rules of Civil Procedure, within 30 days of the issuance of the notice or order.

(1) If a copy of the proposed assessment and work sheet or the certified