

## PART 407—SUPPLEMENTARY MEDICAL INSURANCE (SMI) ENROLLMENT AND ENTITLEMENT

### Subpart A—General Provisions

Sec.

- 407.1 Basis and scope.
- 407.2 General description of program.
- 407.4 Basic requirements for entitlement.

### Subpart B—Individual Enrollment and Entitlement for SMI

- 407.10 Eligibility to enroll.
- 407.11 Forms used to apply for enrollment under Medicare Part B.
- 407.12 General enrollment provisions.
- 407.14 Initial enrollment period.
- 407.15 General enrollment period.
- 407.17 Automatic enrollment.
- 407.18 Determining month of automatic enrollment.
- 407.20 Special enrollment period related to coverage under group health plans.
- 407.22 Request for individual enrollment.
- 407.25 Beginning of entitlement: Individual enrollment.
- 407.27 Termination of entitlement: Individual enrollment.
- 407.30 Limitations on enrollment.
- 407.32 Prejudice to enrollment rights because of Federal Government misrepresentation, inaction, or error.

### Subpart C—State Buy-in Agreements

- 407.40 Enrollment under a State buy-in agreement.
- 407.42 Buy-in groups available to the 50 States, the District of Columbia, and the Northern Mariana Islands.
- 407.43 Buy-in groups available to Puerto Rico, Guam, the Virgin Islands, and American Samoa.
- 407.45 Termination of State buy-in agreements.
- 407.47 Beginning of coverage under a State buy-in agreement.
- 407.48 Termination of coverage under a State buy-in agreement.
- 407.50 Continuation of coverage: Individual enrollment following end of coverage under a State buy-in agreement.

AUTHORITY: Secs. 1102 and 1871 of the Social Security Act (42 U.S.C. 1302 and 1395hh).

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### Subpart A—General Provisions

#### § 407.1 Basis and scope.

(a) *Statutory basis.* The supplementary medical insurance (SMI) pro-

gram is authorized by Part B of title XVIII of the Social Security Act.

(1) Section 1831 of the Act establishes the program.

(2) Sections 1836 and 1837 set forth the eligibility and enrollment requirements.

(3) Section 1838 specifies the entitlement periods, which vary depending on the time and method of enrollment and on the basis for termination.

(4) Section 1843 sets forth the requirements for State buy-in agreements under which States may enroll, and pay the SMI premiums for, eligible individuals who are also eligible for cash assistance or Medicaid.

(5) Section 104(b) of the Social Security Amendments of 1965 (Pub. L. 89-87) specifies the limitations that apply to certain aliens and persons convicted of subversive activities.

(b) *Scope.* This part sets forth the eligibility, enrollment, and entitlement requirements and procedures for supplementary medical insurance. (The rules about premiums are in part 408 of this chapter.)

#### § 407.2 General description of program.

Part B of Title XVIII of the Act provides for voluntary “supplementary medical insurance” available to most individuals age 65 or over and to disabled individuals who are under age 65 and entitled to hospital insurance. The SMI program is financed by premiums paid by (or for) each individual enrolled in the program, plus contributions from Federal funds. It covers certain physicians’ services, outpatient services, home health services, services furnished by rural health clinics (RHCs), Federally qualified health centers (FQHCs), ambulatory surgical centers (ASCs), and comprehensive outpatient rehabilitation facilities (CORFs), and other medical and other health services.

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#### § 407.4 Basic requirements for entitlement.

(a) An individual must meet the following requirements to be entitled to SMI: