

§ 413.192

42 CFR Ch. IV (10–1–00 Edition)

(6) The facility must submit with the exception request a list of patients, by modality, trained during the most recent cost report period. The list must include each beneficiary's—

- (i) Name;
- (ii) Age; and
- (iii) Training status (completed, not completed, being retrained, or in the process of being trained).

(7) The total treatments from the patient list must be the same as the total treatments reported on the cost report filed with the request.

§ 413.192 Payment exception: Frequency of dialysis.

(a) *Qualification.* To qualify for an exception to the prospective payment rate based on frequency of dialysis, the facility must establish that it has a substantial portion of outpatient maintenance dialysis treatments furnished to patients who dialyze less frequently than three times per week.

(b) *Definition.* For purposes of this section, “substantial” means the number of treatments furnished by the facility is at least 15 percent lower than the number would be if all patients dialyzed three times a week.

(c) *Limitation for per treatment payment rates.* Per treatment payment rates granted under this exception may not exceed the amount that produces weekly payments per patient equal to three times the facility's prospective composite rate, exclusive of any exception amounts.

(d) *Documentation.* To document that an ESRD facility furnishes a substantial number of dialysis treatments at a frequency less than three times per week per patient, the facility must submit the following information:

(1) A list of patients receiving outpatient dialysis treatments for the cost report that is filed with the request. The list must indicate—

- (i) Whether the patients are permanent, transient, or temporary;
- (ii) The medically prescribed frequency of dialysis; and
- (iii) The number of dialysis treatments that each patient received on a weekly and yearly basis and an explanation of any discrepancy between that calculation and the number of treat-

ments reported on the facility's cost report.

(2) A list of patients used to project treatments. The list must indicate—

- (i) Whether the patients are permanent, transient, or temporary;
- (ii) The medically prescribed frequency of dialysis;

(iii) The number of dialysis treatments that each patient is projected to receive on a weekly and yearly basis, an explanation of any discrepancy between that calculation and the number of treatments reported on the facility's projected cost report, and an explanation for any change among prior, actual, and projected data.

(3) A schedule showing the number of treatments to be furnished twice a week and the number of treatments that would have been furnished if each patient were dialyzed three times a week.

(4) A computation of the facility's projected costs per treatment using the—

(i) Projected number of treatments furnished twice a week; and

(ii) Number of treatments if patients dialyze three times a week.

(5) A schedule showing the computation of the percentage decrease in the number of treatments.

§ 413.194 Appeals.

(a) *Appeals under section 1878 of the Act.* (1) A facility that disputes the amount of its allowable Medicare bad debts reimbursed by HCFA under § 413.178 may request review by the intermediary or the Provider Reimbursement Review Board (PRRB) in accordance with subpart R of part 405 of this chapter.

(2) A facility must request and obtain a final agency decision prior to seeking judicial review of a dispute regarding the amount of allowable Medicare bad debts.

(b) *Other appeals.* (1) A facility that has requested higher payment per treatment in accordance with § 413.180 may request review from the intermediary or the PRRB if HCFA has denied the request in whole or in part. In such a case, the procedure in subpart R of part 405 of this chapter is followed to the extent that it is applicable.