

(a) Is dissatisfied with a carrier's denial of a request for payment made on his or her behalf by an ASC;

(b) Is dissatisfied with the amount of payment; or

(c) Believes the request for payment is not being acted upon with reasonable promptness.

Subpart F—Adjustment in Payment Amounts for New Technology Intraocular Lenses Furnished by Ambulatory Surgical Centers

SOURCE: 64 FR 32205, June 16, 1999, unless otherwise noted.

§ 416.180 Definitions.

As used in this subpart, the following definitions apply:

Class of new technology intraocular lenses (IOLs) means all of the IOLs, collectively, that HCFA determines meet the definition of "new technology IOL" under the provisions of this subpart.

Interested party means any individual, partnership, corporation, association, society, scientific or academic establishment, professional or trade organization, or any other legal entity.

New technology IOL means an IOL that HCFA determines has been approved by the FDA for use in labeling and advertising the IOL's claims of specific clinical advantages and superiority over existing IOLs with regard to reduced risk of intraoperative or postoperative complication or trauma, accelerated postoperative recovery, reduced induced astigmatism, improved postoperative visual acuity, more stable postoperative vision, or other comparable clinical advantages.

New technology subset means a group of IOLs that HCFA determines meet the criterion for being treated as new technology IOLs and that share a common feature or features that distinguish them from other IOLs. For example, all new technology IOLs that are made of a particular bioengineered material could comprise one subset, while all that rely on a particular optical innovation could comprise another.

§ 416.185 Payment review process.

(a) HCFA publishes a FEDERAL REGISTER notice announcing the deadline and requirements for submitting a request for HCFA to review payment for an IOL.

(b) HCFA receives a request to review the appropriateness of the payment amount for an IOL.

(c) HCFA compiles a list of the requests it receives and identifies the IOL manufacturer's name, the model number of the IOL to be reviewed, the interested party or parties that submit requests, and a summary of the interested party's grounds for requesting review of the appropriateness of the IOL payment amount.

(d) HCFA publishes the list of requests in a FEDERAL REGISTER notice with comment period, giving the public 30 days to comment on the IOLs for which review was requested.

(e) HCFA reviews the information submitted with the request to review, any timely public comments that are submitted regarding the list of IOLs published in the FEDERAL REGISTER, and any other timely information that HCFA deems relevant to decide whether to provide a payment adjustment as specified in § 416.200. HCFA makes a determination of whether the IOL meets the definition of a new technology IOL in § 416.180.

(f) If HCFA determines that a lens is a new technology IOL, HCFA establishes a payment adjustment as follows:

(1) Before July 16, 2002—\$50.

(2) After July 16, 2002—\$50 or the amount announced through proposed and final rulemaking in connection with ambulatory surgical center services.

(g) HCFA designates a predominant characteristic of a new technology IOL that both sets it apart from other IOLs and links it with other similar IOLs with the same characteristic to establish a specific subset of new technology within the "class of new technology IOLs."

(h) Within 90 days of the end of the comment period following the FEDERAL REGISTER notice identified in paragraph (d) of this section, HCFA publishes in the FEDERAL REGISTER its determinations with regard to IOLs that

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it has determined are “new technology” lenses that qualify for a payment adjustment.

(i) Payment adjustments are effective beginning 30 days after the publication of HCFA’s determinations in the FEDERAL REGISTER.

§416.190 Who may request a review.

Any party who is able to furnish the information required in §416.195 may request that HCFA review the appropriateness of the payment amount provided under section 1833(i)(2)(A)(iii) of the Act with respect to an IOL that meets the definition of a new technology IOL in §416.180.

§416.195 A request to review.

(a) *Content of a request.* The request must include all of the following information:

(1) The name of the manufacturer, the model number, and the trade name of the IOL.

(2) A copy of the FDA’s summary of the IOL’s safety and effectiveness.

(3) A copy of the labeling claims of specific clinical advantages approved by the FDA for the IOL.

(4) A copy of the IOL’s original FDA approval notification.

(5) Reports of modifications made after the original FDA approval.

(6) Other information that HCFA finds necessary for identification of the IOL.

(b) *Confidential information.* To the extent that information received from an IOL manufacturer can reasonably be characterized as a trade secret or as privileged or confidential commercial or financial information, HCFA maintains the confidentiality of the information and protects it from disclosure not otherwise authorized or required by Federal law as allowed under Exemption 4 of the Freedom of Information Act (5 U.S.C. 552(b)(4)) and, with respect to trade secrets, the Trade Secrets Act (18 U.S.C. 1905).

§416.200 Application of the payment adjustment.

(a) HCFA recognizes the IOL(s) that define a new technology subset for purposes of this subpart as belonging to the class of new technology IOLs for a period of 5 years effective from the

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date that HCFA recognizes the first new technology IOL for a payment adjustment.

(b) Any IOL that HCFA subsequently recognizes as belonging to a new technology subset receives the new technology payment adjustment for the remainder of the 5-year period established with HCFA’s recognition of the first IOL in the subset.

(c) Beginning 5 years after the effective date of HCFA’s initial recognition of a new technology subset, payment adjustments cease for all IOLs that HCFA designates as belonging to that subset and payment reverts to the standard payment rate set under section 1833(i)(2)(A)(iii) of the Act for IOL insertion procedures performed in ASCs.

(d) ASCs that furnish an IOL designated by HCFA as belonging to the class of new technology IOLs must submit claims using specific billing codes to receive the new technology IOL payment adjustment.

PART 417—HEALTH MAINTENANCE ORGANIZATIONS, COMPETITIVE MEDICAL PLANS, AND HEALTH CARE PREPAYMENT PLANS

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