

FEDERAL RESERVE SYSTEM**Notice of Proposals to Engage in Permissible Nonbanking Activities or to Acquire Companies that are Engaged in Permissible Nonbanking Activities**

The companies listed in this notice have given notice under section 4 of the Bank Holding Company Act (12 U.S.C. 1843) (BHC Act) and Regulation Y, (12 CFR Part 225) to engage *de novo*, or to acquire or control voting securities or assets of a company, including the companies listed below, that engages either directly or through a subsidiary or other company, in a nonbanking activity that is listed in § 225.28 of Regulation Y (12 CFR 225.28) or that the Board has determined by Order to be closely related to banking and permissible for bank holding companies. Unless otherwise noted, these activities will be conducted throughout the United States.

Each notice is available for inspection at the Federal Reserve Bank indicated. The notice also will be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing on the question whether the proposal complies with the standards of section 4 of the BHC Act.

Unless otherwise noted, comments regarding the applications must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than April 20, 1999.

A. Federal Reserve Bank of Atlanta (Lois Berthaume, Vice President) 104 Marietta Street, N.W., Atlanta, Georgia 30303-2713:

1. *Trustmark Corporation*, Jackson, Mississippi; to engage *de novo* through its subsidiary, Trustmark Bankcard, National Association, Columbus, Georgia (in organization), in making, acquiring, brokering, or servicing loans or other extensions of credit, pursuant to § 225.28(b)(1) of Regulation Y.

Board of Governors of the Federal Reserve System, March 31, 1999.

Robert deV. Frierson,

Associate Secretary of the Board.

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DEPARTMENT OF HEALTH AND HUMAN SERVICES**Centers for Disease Control and Prevention**

[Program Announcement 99043]

Notice of Availability of Funds; Infrastructure Development Initiatives Related to Oral Disease Prevention and Oral Health Promotion**A. Purpose**

The Centers for Disease Control and Prevention (CDC) announces the availability of fiscal year (FY) 1999 funds for a cooperative agreement program for Infrastructure Development Initiatives Related to Oral Disease Prevention and Oral Health Promotion. This program addresses the Healthy People 2000 priority areas Oral Health, Tobacco, Educational and Community-Based Programs, and Diabetes and Chronic Disabling Conditions.

The purpose of the program is to develop initiatives related to oral disease prevention and related chronic disease and health promotion capacity; and, to coordinate the dissemination of comprehensive oral disease information and health promotion programmatic expertise among state and local agencies, public and private sector organizations, and health care professionals in the United States.

The purpose of this program includes conducting projects that:

1. Promote the development of leadership and infrastructure to establish sustainable oral health programs at the state and local levels.
2. Advance tobacco control programs, especially smokeless tobacco.
3. Advance school oral health education and targeted oral disease and oral injury prevention efforts (e.g. athletic mouth guards).
4. Develop and carry out oral health education and disease prevention programs for high-risk adults.

B. Eligible Applicants

Assistance will be provided only to a dental health organization that is a 501(c)(3) private, nonprofit organization. Eligible applicants must have established working relationships with public advocacy coalitions and national, state and local organizations.

Limited competition is justified under this program announcement because of the need for a directed and concentrated focus in the effective dissemination of programs and information related to oral health. The coordination and implementation of a national oral health education program strategy requires

organizations that have the capacity and experience to influence the professional actions of their constituencies; have the capacity to identify, assess, and advocate for implementing effective oral health programs; and can build the capacity of coalitions and state and local health and education agencies.

The applicant organization must include evidence of its nonprofit status with the application. Any of the following is acceptable evidence.

1. A reference to the organization's listing in the Internal Revenue Service's (IRS) most recent list of tax-exempt organizations described in section 501(c)(3) of the IRS Code.
2. A copy of a currently valid Internal Revenue Service Tax exemption certificate.
3. A statement from a state taxing body, State Attorney General, or other appropriate state official certifying that the applicant organization has a nonprofit status and that none of the net earnings accrue to any private shareholders or individuals.
4. A certified copy of the organization's certificate of incorporation or similar document if it clearly establishes the nonprofit status of the organization.

Note: Effective January 1, 1996, Public Law 104-65 states that an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 that engages in lobbying activities is not eligible to receive federal funds constituting an award, grant, cooperative agreement, contract, loan, or any other form.

C. Availability of Funds

Approximately \$70,000 is available in FY 1999 to fund one award. It is expected that the award will begin on or about August 15, 1999, and will be made for a 12-month budget period within a project period of up to 5 years. Funding estimates may change.

Continuation awards within the project period will be made on the basis of satisfactory progress and the availability of funds.

Use of Funds

Funded programs may include activities related to risk factors for oral diseases or chronic health conditions that are effected by oral diseases or that may influence oral diseases. Examples of related factors or conditions include: tobacco use prevention, proper nutrition, diabetes control and the prevention of oral complications of diabetes, and cardiovascular disease.

D. Program Requirements

In conducting activities to achieve the purpose of this program, the grantee

will be responsible for the activities under 1. below, and CDC will be responsible for the activities under 2. below:

1. Recipient Activities

a. Collaborate with state and local coalitions to develop and expand activities to improve and strengthen oral health infrastructure.

b. Identify geographic areas for the implementation and evaluation of oral health education, that includes tobacco.

c. Initiate and conduct the development and project planning, implementation, monitoring, and evaluation of oral health education and health promotion projects and service programs.

d. Provide expert guidance and consultation to State and local coalitions and organizations during program development and operation and development of evaluation methodologies.

e. Monitor and evaluate program performance.

f. Analyze program-related data and, on a periodic basis, share data and program performance information through appropriate channels (conferences, reports, publications, etc.).

g. Coordinate activities with other relevant agencies, organizations, and individuals to facilitate the development, implementation, and evaluation of oral disease prevention and health promotion programs, either as stand-alone programs or integrated within broader chronic disease prevention and health promotion programs. For example, program activities may be implemented in the following areas:

(1) Working with the National Spit Tobacco Education Program, to expand tobacco prevention and control activities beyond baseball to include blue collar workers, military, and other professional sports that include professional wrestling. Special attention may also be given to minority populations within the aforementioned subgroups. The recipient should develop and carry out activities that deglamorize tobacco use and expand partnerships with agencies and organizations that could support the implementation of tobacco control and prevention.

(2) Develop and expand oral health education and prevention activities that could be implemented in schools and expand partnerships with agencies and organizations that can support the implementation of school oral health programs for children and adolescents.

(3) Develop a mechanism for targeting oral health promotion messages to

adults, especially high-risk adults, such as those with diabetes. Public education about oral cancer, its causes, prevention, detection, and treatment may be undertaken. Other examples may include assessment of the oral health of special populations, such as Special Olympic adult athletes or the homeless, and establishing and expanding referral programs.

2. CDC Activities

a. Participate in planning, implementing, and evaluating strategies and programs.

b. Assist in the analysis and interpretation of the evaluation phase of projects or programs.

c. Provide programmatic consultation and guidance in support of the program.

d. Provide continuing updates on scientific and operational developments in the areas of oral disease prevention and control, related risk factors, and impacts on other chronic health conditions.

e. Assist in the planning and implementation of linkages with local or State agencies.

f. Assist in the technological and methodological dissemination of successful prevention and intervention models among targeted groups such as State and local health departments, community-based organizations, and other health professionals.

E. Application Content

Applicants should use the information in the Program Requirements, Other Requirements, and Evaluation Criteria sections to develop the application content. The application will be evaluated on the criteria listed, so it is important to follow them in laying out the program plan. The narrative should be no more than 25 double-spaced pages, excluding appendixes printed on one side, with one inch margins, and un-reduced font. The application should contain:

1. Statement of Need

Identify and describe the nature and extent of each problem for which assistance is requested and provide a brief description of each programmatic plan and activity for addressing these problems.

2. Capability and Experience

a. Describe experience in developing and implementing nationally recognized community-based oral disease prevention and health promotion initiatives.

b. Describe experience in carrying out community-based oral disease prevention and capacity development activities in State and local sites.

c. Describe the ability to leverage significant electronic and print media coverage around critical oral health education and promotion activities.

d. Demonstrate the ability to conduct oral health capacity and infrastructure development activities that have specific relevance to proposed project objectives.

e. Describe current and past collaboration and operational strategies with other organizations to accomplish program goals and disseminate information related to oral disease and control through a broad-based public advocacy coalition.

f. Describe current or past unique opportunities or innovations employed to further oral health programs through the use of unusual talent, resources, populations, or programmatic situations that are not readily accessed by other organizations and provides augmentation of existing CDC resources. For example, relationships may be with organizations such as Major League Baseball, the Major League Baseball Association, and Special Olympics.

g. Describe ability to begin implementing the projects outlined in this application request within a short time period (3–6 months).

3. Objectives

Establish and submit long- (5 year) and short-term (1 year) objectives for each programmatic activity proposed. Objectives must be specific, measurable, time phased, and realistic.

4. Operational Plan

Submit an operational plan that addresses achieving each of the objectives established. Provide a concise description of each component or major activity and how it will be carried out. The plan must identify and establish a timeline for the completion of each component or major activity.

5. Evaluation Plan

Submit a quantitative plan for monitoring progress toward achieving each of the stated objectives.

6. Program Management

Describe the need, functions, and qualifications for each program personnel requested.

a. Describe the proposed staffing for the project and provide job descriptions for existing and proposed positions.

b. Submit curriculum vitae (limited to 2 pages per person) for each professional staff member named in the proposal.

c. Provide documentation demonstrating presence of affiliate organizations or programs in at least 7

of the 10 Health and Human Services Regional Offices regions in the United States.

d. Describe the volunteer network distributed around the country and their expertise and experience related directly to proposed activities.

7. Budget

Submit a detailed budget and line-item justification that is consistent with the purpose of the program and the proposed project activities.

An original and two copies of the application are required. Pages should be numbered and a complete index to the application and its appendixes included. Begin each separate section on a new page. The original and each copy of the application must be submitted unstapled, unbound, and typed on 8½" by 11" paper, with at least 1" margins, headings and footers, and printed on one side only.

F. Submission and Deadline

Application

Submit the original and two copies of PHS-5161-1 (OMB Number 0937-0189). Forms are in the application kit. On or before June 15, 1999, submit the application to:

Locke Thompson, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Announcement 99043, Centers for Disease Control and Prevention, Room 3000, 2920 Brandywine Road, Mail Stop E-18, Atlanta, GA 30341-4146.

Deadline: Applications shall be considered as meeting the deadline if they are either:

- (a) Received on or before the deadline date; or
- (b) Sent on or before the deadline date and received in time for orderly processing. (Applicants must request a legibly dated U.S. Postal Service postmark or obtain a legibly dated receipt from a commercial carrier or U.S. Postal Service. Private metered postmarks shall not be acceptable as proof of timely mailing.)

Late Applications: Applications which do not meet the criteria in (a) or (b) above are considered late applications, will not be considered, and will be returned to the applicant.

G. Evaluation Criteria

Each application will be evaluated individually against the following criteria by an independent review group appointed by CDC.

1. Needs Statement (15 Points) The extent to which the applicant identifies specific needs related to the purposes of the program.

2. Capability and Experience (15 Points) The extent to which the organization has the capability and experience to develop national community-based oral disease prevention and health promotion programs and to develop State and local infrastructure necessary for their success. The extent to which the proposed network of affiliate organizations, programs, coalitions, and volunteer groups possess capacity to perform projects of a national scope.

3. Objectives (10 Points)

The degree to which short- and long-term objectives are specific, time-phased, measurable, and realistic.

4. Operational Plan (25 Points)

The adequacy of the applicant's plan to carry out the proposed activities.

5. Evaluation Plan (15 Points)

The extent to which the evaluation plan provides methods of monitoring progress toward meeting project objectives.

6. Program Management (20 Points)

The extent to which proposed staff meet requirements and possess the experience and capacity to perform the project.

The applicant must provide evidence of the number and type of field staff that are currently active in at least seven of the 10 PHS regions within the United States.

7. Budget (Not Scored)

The extent to which the budget is reasonable and consistent with the purpose and objectives of the program.

H. Other Requirements

Technical Reporting Requirements

Provide CDC with an original plus two copies of

1. semiannual progress reports;
2. financial status report, no more than 90 days after the end of the budget period;
3. final financial and performance reports, no more than 90 days after the end of the project period.

Send all reports to: Locke Thompson, Grants Management Specialist, Grants, Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention, Room 3000, 2920 Brandywine Road, Mail Stop E-18, Atlanta, GA 30341-4146

The following additional requirements are applicable to this program and are incorporated herein by reference. For a complete description of each, see Attachment 1 in the application kit.

- AR-9 Paperwork Reduction Act Requirements
- AR-10 Smoke-Free Workplace Requirements

- AR-11 Healthy People 2000
- AR-12 Lobbying Restrictions
- AR-15 Proof of Nonprofit Status

I. Authority and Catalog of Federal Domestic Assistance Number

This program is authorized under the Public Health Service Act, Sections 317(k)(2)[42 U.S.C. 247b (k)(2)], as amended. The Catalog of Federal Domestic Assistance number is 93.283.

J. Where to Obtain Additional Information

To receive additional written information and to request an application kit, call 1-888-GRANTS4 (1-888 472-6874). You will be asked to leave your name and address and will be instructed to identify the Announcement number of interest.

If you have questions after reviewing the contents of all the documents, business management technical assistance may be obtained from: Locke Thompson, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Announcement 99043, Centers for Disease Control and Prevention, Room 3000, 2920 Brandywine Road, Mail Stop E-18, Atlanta, GA 30341-4146, Telephone (770) 488-2749, E-mail: lxt1@cdc.gov.

For program technical assistance, contact: Scott M. Presson, Program Director, Division of Oral Health, National Center for Chronic Disease Prevention, and Health Promotion, Centers for Disease Control and Prevention 4770 Buford Hwy, NE., Mail Stop F-10, Atlanta, GA 30341-3724, Telephone, (770)488-6056, E-mail: skp4@cdc.gov.

See also the CDC home page on the Internet: <http://www.cdc.gov>.

Dated: March 30, 1999.

Jane M. Sparks,

Acting Director, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC).

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DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Advisory Committee for Energy-Related Epidemiologic Research and Subcommittee for Community Affairs: Meetings

In accordance with section 10(a)(2) of the Federal Advisory Committee Act (Pub. L. 92-463), the Centers for Disease