



Federal Register

**Friday,
January 19, 2001**

Part VI

Department of Agriculture

**Cooperative State Research, Education
and Extension Service**

**Requests for Proposals (RFP): Food and
Agricultural Sciences National Needs
Graduate Fellowship Grants Program for
Fiscal Years 2001 and 2002; and Special
Research Grants Programs, Citrus Tristeza
Research; Notice**

DEPARTMENT OF AGRICULTURE**Cooperative State Research,
Education, and Extension Service****Request for Proposals (RFP): Food
and Agricultural Sciences National
Needs Graduate Fellowship Grants
Program for Fiscal Years 2001 and
2002**

AGENCY: Cooperative State Research, Education, and Extension Service, USDA.

ACTION: Notice of request for proposals and request for input.

SUMMARY: The Cooperative State Research, Education, and Extension Service (CSREES) announces the availability of grant funds and requests proposals for the Food and Agricultural Sciences National Needs Graduate Fellowship Grants Program for Fiscal Years (FYs) 2001 and 2002, and for 2001 Supplemental Grants for Special International Study or Thesis/Dissertation Research Travel Allowances. Proposals are hereby requested from eligible institutions as identified herein for competitive consideration for Food and Agricultural Sciences National Needs Graduate Fellowship Grant awards. In addition, CSREES seeks proposals from recipients of currently active Food and Agricultural Sciences National Needs Graduate Fellowship Grants for supplemental grants to support special international study or thesis/dissertation research experiences for current Fellows.

CSREES also is soliciting comments regarding this RFP from any interested party. Such comments will be considered in the development of any future requests for proposals for this program. Such comments will be used in meeting the requirements of section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998 (AREERA).

DATES: All proposals for Food and Agricultural Sciences National Needs Graduate Fellowship Grants must be received on or before July 10, 2001. Supplemental Grant proposals to support special international study or thesis/dissertation research for current Fellows must be received by October 1, 2001. Proposals not received on or before these dates, as appropriate, will not be considered for funding.

Comments are requested within six months from the issuance of this RFP. Comments received after that date will be considered to the extent practicable.

ADDRESSES: For Food and Agricultural Sciences National Needs Graduate Fellowship Grant proposals, hand-

delivered proposals (brought in person by the applicant or through a courier service) must be received on or before July 10, 2001, at the following address: National Needs Graduate Fellowship Grants Program; c/o Proposal Services Unit Office of Extramural Programs Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; Room 1307, Waterfront Centre; 800 9th Street, SW.; Washington, DC 20024. The telephone number is (202) 401-5048. Proposals transmitted via facsimile (fax) machine will not be accepted. Proposals submitted by mail must be received on or before July 10, 2001. Proposals submitted by mail should be sent to the following address: National Needs Graduate Fellowship Grants Program; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245.

For International Study or Thesis/Dissertation Research Supplemental Grant proposals, hand-delivered proposals (brought in person by the applicant or through a courier service) must be received prior to October 1, 2001, at the following address: Graduate Fellowship (International) Supplemental Grants Program; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; Room 1307, Waterfront Centre; 800 9th Street, SW.; Washington, DC 20024. The telephone number is (202) 401-5048. Proposals transmitted via a facsimile (fax) machine will not be accepted. Proposals submitted by mail must be received prior to October 1, 2001. Proposals submitted by mail should be sent to the following address: Graduate Fellowship (International) Supplemental Grants Program; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2299; 1400 Independence Avenue, SW.; Washington, DC 20250-2299.

Written user comments should be submitted by mail to: Policy and Program Liaison Staff; Office of Extramural Programs; USDA-CSREES; STOP 2299; 1400 Independence Avenue, SW.; Washington, DC 20250-2299; or via e-mail to: *RFP-OEP@reusda.gov*. (This e-mail address is intended only for receiving stakeholder input comments regarding

this RFP, and not for requesting information or forms.)

FOR FURTHER INFORMATION CONTACT: Dr. Howard Sandberg; Higher Education Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture, STOP 2251; 1400 Independence Avenue, SW.; Washington, DC 20250-2251; Telephone: (202) 720-2193; E-mail: *hsandberg@reusda.gov*.

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Stakeholder Input

CSREES is requesting comments regarding this FY 2001 RFP from any interested party. In your comments, please include the name of the program and the fiscal year solicitation for applications to which you are responding. These comments will be considered in the development of the next solicitation for applications for the program. Such comments will be used in meeting the requirements of section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998, 7 U.S.C. 7613(c). Comments should be submitted as provided for in the **ADDRESSES** and **DATES** portions of this notice.

Catalog of Federal Domestic Assistance

This program is listed in the Catalog of Federal Domestic Assistance under No. 10.210, Food and Agricultural Sciences National Needs Graduate Fellowship Grants.

Part I. Food and Agricultural Sciences National Needs Graduate Fellowship Grants

A. Administrative Provisions and Legislative Authority

This Program is subject to the provisions found at 7 CFR part 3402. 7 CFR part 3402 sets forth procedures to be followed when submitting grant proposals for food and agricultural sciences national needs graduate fellowship grants, rules governing the evaluation of proposals and the awarding of grants, and regulations relating to the post-award administration of such grant projects.

Legislative authority for this program is contained in section 1417(b)(6) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3152(b)(6)).

In accordance with the statutory authority, subject to the availability of funds, the Secretary of Agriculture, who has delegated the authority to the Administrator of CSREES, may make competitive grants, for periods not to exceed five years, to land-grant colleges and universities, to colleges and universities having significant minority enrollments and a demonstrable capacity to carry out the teaching of food and agricultural sciences, and to other colleges and universities having a demonstrable capacity to carry out the teaching of food and agricultural sciences, to administer and conduct graduate fellowship programs to help meet the Nation's needs for development of scientific and professional expertise in the food and agricultural sciences. For this program, the term "food and agricultural sciences" means basic, applied, and developmental research, extension, and teaching activities in food and fiber, agriculture, renewable natural resources, forestry, and physical and social sciences, including activities related to subject areas defined in section 1404(8) of NARETPA, 7 U.S.C. 3103(8).

B. Program Description

1. Purpose of the Program

This program seeks to award grants for training students for a doctoral degree at colleges and universities which have demonstrable teaching and

research competencies in the food and agricultural sciences. The grants are specifically intended to support fellowship programs that encourage outstanding students to pursue and complete their degree at such institutions in an area of the food and agricultural sciences for which there is a national need for the development of scientific and professional expertise.

2. Targeted National Need Areas

Food and agricultural science areas appropriate for fellowship grant applications are those which are directly related to one of the following: (1) Animal, microbial, or plant molecular biology including genomics or bioinformatics; (2) natural resources and environment; (3) agricultural systems or natural resource engineering; (4) marketing or management; (5) food science or human nutrition; or (6) human sciences. A proposal is restricted to one national need area.

3. Eligibility

Proposals may be submitted by institutions that confer a doctoral degree in a national need area. For proposals involving more than one institution, all institutions must meet the eligibility requirements. Proposals also may be submitted by a research foundation maintained by an eligible college or university. Eligibility requirements are discussed further in 7 CFR 3402.3.

4. Degree Level Supported

In FYs 2001 and 2002, only the doctoral level of study will be supported.

5. Proposal Submission Limitations

No limitations are placed on the number of proposals which can be submitted by a college or university.

6. Limitations on Number of Fellowships

There is no limit on the number of fellowships in the single national need area which can be requested in the proposal. While proposals must document institution willingness to recruit and train the number of fellows requested, CSREES may fund fewer fellows than requested in a proposal. Also, the maximum total amount of funds from this program that may be awarded to an institution in FYs 2001 and 2002 is limited to \$276,000.

7. Available Funding

Subject to the enactment of the appropriations acts that will provide funds to CSREES for this program in FYs 2001 and 2002, CSREES anticipates that approximately \$5.6 million will be

available for fellowship grants for the FYs 2001 and 2002 combined competition, including approximately \$2.8 million in anticipated FY 2001 appropriations and approximately \$2.8 million in anticipated FY 2002 appropriations. Contingent on the availability of these funds, approximately \$934,000 will be allocated to each of the six national need areas. This program is highly competitive, and it is anticipated that available funding will support approximately 81 doctoral fellows. No-year funds drawn from expired fellowship grants with unspent funds remaining may be used to fund additional fellows. Please note that Congress has not enacted the FY 2002 appropriation bills for the Department. Therefore, the \$5.6 million cited for FYs 2001 and 2002 grants is only tentative, and USDA is not bound by this estimate.

8. Stipend Level

Each institution funded will receive \$69,000 for each doctoral fellowship awarded. However, it is anticipated that total program funds available will not be evenly divisible by \$69,000. Therefore, one fellowship may be supported on a partial basis with a lesser amount of funds, or one fellowship may be supported fully by a combination of FYs 2001 and 2002 funds and unspent funds remaining from expired fellowship grants. Except in the case of a partially funded fellowship, fellowship monies must be used to: (1) Support the same doctoral fellow for three years at \$22,000 per year; and (2) provide for an institution annual cost-of-education allowance of \$1,000. Total funds awarded to an institution under the program in FYs 2001 and 2002 shall not exceed \$276,000.

C. Selection Process and Evaluation Criteria

Section 223 of the Agricultural Research, Extension, and Education Reform Act of 1998, Pub. L. No. 105-185, amended section 1417 of NARETPA to require that priorities be given in awarding grants for certain teaching enhancement projects under section 1417(b) of NARETPA. This program is authorized under section 1417(b). CSREES considers all applications received in response to this solicitation as teaching enhancement project applications. To implement the new priorities for proposals submitted for the FYs 2001 and 2002 competition, the evaluation criteria used to evaluate proposals, as provided in the administrative provisions for this program (7 CFR 3402.19), have been

modified to include new criteria for proposals demonstrating enhanced coordination among eligible institutions and focusing on innovative, multidisciplinary education programs, material, or curricula. The following criteria and weights will be used to evaluate proposals submitted for funding to the FYs 2001 and 2002 competition:

(A) 25 points—The degree to which the proposal establishes clearly that the proposed program of graduate study will result in the development of outstanding scientific/professional expertise related to a national need area and will do so in a reasonable period of time.

(B) 25 points—The degree to which the proposal contains any special features such as a focus on innovative, multidisciplinary education programs, material, or curricula; enhanced coordination among institutions eligible for grants under the Food and Agricultural National Needs Fellowship Grant Program; an inter-disciplinary, multi-disciplinary, or cross-disciplinary approach, an unusual collateral specialization in a related discipline, experiential learning opportunities, unique mentoring programs, seminars, or a multi-university collaborative approach.

(C) 20 points—The degree to which the proposal substantiates clearly that the institution's faculty, facilities and equipment, instructional support resources, and other academic attributes are excellent for providing outstanding graduate study and research at the forefront of science and technology related to the chosen area of national need.

(D) 20 points—The degree to which the institution's plans and procedures for recruiting and selecting academically outstanding Fellows and for advising and guiding Fellows through a program of study reflect excellence as documented in the proposal.

(E) 5 points—The degree to which supplementary summary data substantiate program quality in the targeted need area.

(F) 5 points—The quality of the proposal as reflected by its substantive content, organization, clarity, and accuracy.

D. How To Obtain Application Materials

An Application Kit containing program application materials can be downloaded from the CSREES, Office of Higher Education Programs (HEP) web site at <http://www.reeusda.gov/serd/hep/hep.htm> or will be made available to eligible institutions upon request.

These materials include the administrative provisions, this Request for Proposals, Summary Information, forms, instructions, and other relevant information needed to prepare and submit grant proposals. Copies of the Application Kit may be requested from the Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245. The telephone number is 202-401-5048. When contacting the Proposal Services Unit, please indicate that you are requesting forms for the FYs 2001 and 2002 National Needs Graduate Fellowship Grants Program.

Application materials also may be requested via Internet by sending a message which states that you want to receive a copy of the application materials for the FY 2001/2002 National Needs Graduate Fellowship Grants Program with your name, mailing address (not e-mail) and phone number to psb@reeusda.gov. The materials will then be mailed to you (not e-mailed) as quickly as possible.

E. Submission of a Proposal

1. Intent To Submit a Proposal

Submission of an Intent to Submit a Proposal (Form CSREES-706) is requested for the FYs 2001 and 2002 competition and is due May 11, 2001. Form CSREES-706 can be sent by FAX to 202-720-2030; by courier to Graduate Fellowship Program; CSREES/SERD/HEP; Waterfront Centre; 3rd Floor, Room 3251; 800 9th Street, SW.; Washington, DC 20024; or by mail to Graduate Fellowship Program, USDA/CSREES/SERD/HEP, 1400 Independence Ave., SW.; STOP 2251; Washington, DC 20250-2251.

2. What To Submit

An original and six (6) copies of a proposal must be submitted. Proposals should contain all requested information when submitted. Each proposal should be typed on 8½" x 11" white paper, double-spaced, and on one side of the page only. Please note that the text of the proposal should be prepared using a font no smaller than 12 point and one-inch margins. All copies of the proposal must be submitted in one package. Each copy of the proposal must be stapled securely in the upper left-hand corner (DO NOT BIND).

The proposal should be paginated and a Table of Contents should be included preceding the proposal narrative. Applicants are cautioned to comply with the 20-page limitation for the

narrative section of the proposal. Applicants also are cautioned to include *summary* faculty vitae using Summary Vita—Teaching Proposal (Form CSREES-708). More detailed information on the narrative, summary vita, and other portions of a proposal is provided in subpart C of 7 CFR part 3402.

3. Where and When To Submit

Hand-delivered proposals (brought in person by the applicant or through a courier service) must be received on or before July 10, 2001, at the following address: National Needs Graduate Fellowship Grants Program; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; Room 1307, Waterfront Centre; 800 9th Street, SW.; Washington, DC 20024. The telephone number is (202) 401-5048. Proposals transmitted via facsimile (fax) machine will not be accepted.

Proposals submitted through the mail must be received on or before July 10, 2001. Proposals submitted through the mail should be sent to the following address: National Needs Graduate Fellowship Grants Program; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW., Washington, DC 20250-2245.

4. Acknowledgment of Proposals

The receipt of all proposals will be acknowledged via e-mail. Therefore it is important to include an e-mail address on the Proposal Cover Page (Form CSREES-701) when applicable. This acknowledgment will contain a proposal identification number. Once your proposal has been assigned a proposal number, please cite that number in future correspondence.

F. Current Research Information System (CRIS) Reports and Impact Reports

Institutions will be asked to submit annual CRIS Reports in partial fulfillment of the reporting requirements of 7 CFR 3402.25(a). Also, institutions will be asked to submit reports describing significant impacts generated by the activities and accomplishments of the fellows in partial fulfillment of the reporting requirements of 7 CFR 3402.25.

Part II. 2001 Special International Study or Thesis/Dissertation Research Travel Allowances

A. Administrative Provisions and Legislative Authority

This Program is subject to the provisions found at 7 CFR part 3402. 7 CFR 3402.5(e) sets forth procedures to be followed when submitting supplemental grant proposals for special international study or thesis/dissertation research travel allowances. 7 CFR part 3402, subparts E and F set forth rules governing the evaluation of proposals and the awarding of grants, and regulations relating to the post-award administration of such grant projects.

Legislative authority for this program is contained in section 1417(b)(6) of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, as amended (NARETPA) (7 U.S.C. 3152(b)(6)).

B. Program Description

CSREES has determined that a new competition for special international study or thesis/dissertation research travel allowances will be held during FY 2001, and hereby solicits proposals for competitive supplemental grants. Proposals may be submitted by universities or colleges who currently have active Food and Agricultural Sciences National Needs Graduate Fellowship Grants. Eligibility for this opportunity is limited to any current Fellow with sufficient time to complete the international experience before the termination date of the fellowship grant under which he/she is supported. These supplementary grants provide support for a Fellow to conduct thesis/dissertation research or to undertake studies at a site outside of the United States. Before the international study or thesis/dissertation research travel may commence, a Fellow must have completed one academic year of full-time study, as defined by the institution, under the fellowship appointment and arrangements must have been formalized for the Fellow to study and/or conduct research in the foreign location(s).

Estimated funds for supplemental grants in FY 2001 are approximately \$60,000. These funds are obtained from no-year funds drawn from expired fellowship grants with unspent funds remaining. CSREES has determined that no FY 2001 appropriations will be targeted to supplemental grants supporting special international study or thesis/dissertation research travel allowances. For each travel allowance, the institution may request up to

\$10,000. Travel allowance monies may be used only to pay travel and living expenses for the Fellow while the Fellow is on the specific international assignment as proposed in the application for the special international study or thesis/dissertation research travel allowance. No limitation is placed on the number of applications an institution may submit. Awards will be made to the extent possible based on the review of the proposal and subject to the availability of funds.

C. Selection Process and Evaluation Criteria

Applications for the special international travel allowances will be evaluated as they are received until available funds for the supplemental grants are exhausted. Upon receipt of an application, CSREES staff will first determine the eligibility of the Fellow for whom the application was submitted for an international travel experience. Eligible and complete requests then will be reviewed by professional staff from USDA or other Federal agencies, as appropriate. Since awards for supplemental grants will be made as reviews are completed, there is no assurance funds will be available late in the application period for every acceptable proposal.

The six evaluation criteria are:

1. 10 points—Destination and duration—the degree to which the destination and duration of the travel experience is appropriate for enhancing the Fellow's academic program.
2. 30 points—Travel experience activities—the degree to which the specific international experiences contribute to the Fellow's program of study.
3. 20 points—Advance preparations—the degree to which the proposed study or research activities are well-planned, including the likelihood that these activities will come to fruition and that the participation of identified personnel will materialize.
4. 10 points—Budget—the degree to which the budget for the international experience is justified.
5. 20 points—Personnel—the degree to which the personnel, both U.S. and international, involved with the travel experience have the appropriate credentials and experience to direct the Fellow's international experience, and the likelihood that their participation as mentors, trainers, advisors, or teachers will contribute to the educational value of the travel experiences.
6. 10 points—Supporting documentation—the degree to which letters from the dean of the college (or equivalent administrative unit) and the

fellowship grant project director support the application.

D. How To Obtain Application Materials

An Application Kit containing program application materials can be downloaded from the HEP web site at <http://www.reeusda.gov/serd/hep/hep.htm> or will be made available to eligible institutions upon request. These materials include the administrative provisions, Request for Proposals, Summary Information, forms, instructions, and other relevant information needed to prepare and submit grant applications. Copies of the Application Kit may be requested from the Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245. The telephone number is (202) 401-5048. When contacting the Proposal Services Unit, please indicate that you are requesting the Application Kit for the FY 2001 Graduate Fellowship (International) Supplemental Grants Program.

Application materials may also be requested via Internet by sending a message, that states that you wish to receive a copy of the application materials for the 2001 Graduate Fellowship (International) Supplemental Grants Program with your name, mailing address (not e-mail) and telephone number to psb@reeusda.gov. The materials will then be mailed to you (not e-mailed) as quickly as possible.

E. What To Submit

An original plus six (6) copies of each application must be submitted. Proposals should contain all requested information when submitted. Each proposal should be typed on 8½" × 11" white paper, double-spaced, and on one side of the page only. Please note that the text of the proposal should be prepared using a font no smaller than 12 point and one-inch margins. Each copy of the application should be stapled securely in the upper left-hand corner (DO NOT BIND). All copies of the application must be submitted in one package. Applications transmitted via a facsimile (FAX) machine will not be accepted.

A separate application must be submitted by a fellowship grant project director at an eligible institution on behalf of each Fellow for which a special international study or thesis/dissertation research travel allowance is requested.

Each application must include an "Application for Funding," Form

CSREES-661, and a "Budget," Form CSREES-55. To provide HEP with sufficient information upon which to evaluate the merits of the requests for a special international study or thesis/dissertation research travel allowance, each application for a supplemental grant must contain a narrative which provides the following: (1) The specific destination(s) and duration of the travel; (2) the specific study or thesis/dissertation research activities in which the Fellow will be engaged; (3) how the international experience will contribute to the Fellow's program of study; (4) a budget narrative specifying and justifying the dollar amount requested for the travel; (5) summary credentials of both the U.S. and international faculty or other professionals with whom the Fellow will be working during the international experience (summary credentials must not exceed three pages per person; "Summary Vita—Teaching Proposal," Form CSREES-708, may be used for this purpose); (6) a letter from the dean of the Fellow's college or equivalent administrative unit supporting the Fellow's travel request and certifying that the travel experience will not jeopardize the Fellow's satisfactory progress toward degree completion; and (7) a letter from the fellowship grant project director certifying the Fellow's eligibility, the accuracy of the Fellow's travel request, and the relevance of the travel to the Fellow's advanced degree objectives.

The narrative portion of the application must not exceed 10 pages, excluding the summary vita/vitae.

F. Where and When To Submit

Applications for the special international study or thesis/dissertation research travel allowance supplemental grants may be submitted at any time prior to October 1, 2001. However, to allow time for CSREES to process the applications, proposals should be submitted at least three months prior to the proposed beginning date of the international research project. Applicants are urged to submit their proposals early.

Note: Proposals for these special supplemental awards should *not* be submitted as part of the application for a FY 2001/2002 Graduate Fellowship grant.)

Hand-delivered proposals (brought in person by the applicant or through a courier service) must be received prior to October 1, 2001, at the following address: Special International Study or Thesis/Dissertation Research Supplemental Grant; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research,

Education, and Extension Service; U.S. Department of Agriculture; Room 1307, Waterfront Centre; 800 9th Street, SW.; Washington, DC 20024. The phone number is 202-401-5048. Proposals transmitted via a facsimile (fax) machine will not be accepted.

Proposals submitted through the U.S. mail must be received prior to October 1, 2001. Proposals submitted through the U.S. mail should be sent to the following address: Graduate Fellowship (International) Supplemental Grants Program; c/o Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245.

G. Impact Reports

Awardees will be asked to submit an impact report at the conclusion of the international research experience. The impact report describes the accomplishments made by the Fellow as a result of the international research experience. This report should be submitted to: Graduate Fellowship Program; CSREES/SERD/HEP, USDA; STOP 2251; 1400 Independence Ave., SW.; Washington, DC 20250-2251.

Done at Washington, DC, this 11th day of January, 2001.

Colien Hefferan,

Administrator, Cooperative State Research, Education, and Extension Service.

[FR Doc. 01-1492 Filed 1-18-01; 8:45 am]

BILLING CODE 3410-22-P

DEPARTMENT OF AGRICULTURE

Cooperative State Research, Education, and Extension Service

Request for Proposals: Special Research Grants Program, Citrus Tristeza Research

AGENCY: Cooperative State Research, Education, and Extension Service, Department of Agriculture.

ACTION: Notice of request for proposals and request for input.

SUMMARY: The Cooperative State Research, Education, and Extension Service (CSREES) announces the availability of grant funds and requests proposals for the Special Research Grants Program, Citrus Tristeza Research for fiscal year (FY) 2001. The purpose of the program is to support research that focuses on problems caused by Citrus Tristeza Virus (CTV) and the Brown Citrus Aphid. This request for proposals (RFP) sets forth

procedures to be followed when submitting grant proposals, rules governing the evaluation of proposals, the awarding of grants, and regulations relating to the post-award administration of such grants.

CSREES also is requesting comments regarding this RFP from any interested party. These comments will be considered in the development of the next RFP for this program. Such comments will be used in meeting the requirements of section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998.

DATES: All proposals must be received at USDA on or before February 15, 2001. Proposals not received on or before this date will not be considered for funding.

User comments are requested within six months from the issuance of this RFP. Comments received after that date will be considered to the extent practicable.

ADDRESSES: Proposals should be submitted to the following mailing address: Special Research Grants Program, Citrus Tristeza Research; c/o Proposal Services Unit; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245.

The address for hand-delivered proposals or proposals submitted using an express mail or overnight courier service is: Special Research Grants Program, Citrus Tristeza Research; c/o Proposal Services Unit; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; Room 1307, Waterfront Centre; 800 9th Street, SW.; Washington, DC 20024. Telephone: (202) 401-5048.

Written user comments should be submitted by mail to: Policy and Program Liaison Staff; Office of Extramural Programs; USDA-CSREES; STOP 2299; 1400 Independence Avenue, SW.; Washington, DC 20250-2299; or via e-mail to: *RFP-OEP@reeusda.gov*. (This e-mail address is intended only for receiving comments regarding this solicitation and not for requesting information or forms.)

FOR FURTHER INFORMATION CONTACT: Dr. Tom Bewick, Manager, Citrus Tristeza Research Program; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2220; 1400 Independence Avenue, SW.; Washington, DC 20250-2220; telephone (202) 401-3356; fax (202) 401-6869; e-mail: *tbewick@reeusda.gov*.

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Stakeholder Input

CSREES is requesting comments regarding the FY 2001 Special Research Grants Program, Citrus Tristeza Research RFP from any interested party. In your comments, please include the name of the program and the fiscal year solicitation for applications to which you are responding. These comments will be considered in the development of the next RFP for the program. Such comments will be used in meeting the requirements of section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998, 7 U.S.C. 7613(c). Comments should be submitted as provided for in the **ADDRESSES** and **DATES** portions of this notice.

Part I—General Information*A. Legislative Authority*

The authority for this program is contained in section (c)(1)(A) of the Competitive, Special, and Facilities Research Grant Act, section 2 of Pub. L. 89-106, as amended (7 U.S.C. 450i(c)(1)(A)). This program is subject to the administrative regulations found in 7 CFR Part 3400.

In accordance with the statutory authority, the Secretary may make grants for the purpose of conducting research to facilitate or expand promising breakthroughs in areas of the food and agricultural sciences of importance to the United States.

B. Definitions

For the purpose of awarding grants under this program, the following definitions are applicable:

(1) *Administrator* means the Administrator of the Cooperative State Research, Education, and Extension Service and any other officer or employee of the Department to whom the authority involved may be delegated.

(2) *Authorized departmental officer or awarding official* means the Secretary or any employee of the Department who has the authority to issue or modify grant instruments on behalf of the Secretary.

(3) *Authorized organizational representative* means the president, director, chief executive officer, or other designated official of the applicant organization who has the authority to commit the resources of the organization.

(4) *Budget period* means the interval of time (usually 12 months) into which the project period is divided for budgetary and reporting purposes.

(5) *Department* or *USDA* means the United States Department of Agriculture.

(6) *Grantee* means the organization or entity designated in the grant award document as the responsible legal entity to which a grant is awarded.

(7) *Peer review panel or group* means an assembled group of experts or consultants qualified by training and experience in particular scientific or technical fields to give expert advice on the scientific and technical merit of grant applications in those fields. The panel members will evaluate eligible proposals submitted to this program in their personal and professional area(s) of expertise.

(8) *Prior approval* means written approval evidencing prior consent by an authorized departmental officer as defined in (2) above.

(9) *Project* means the particular activity within the scope of the program supported by a grant award.

(10) *Principal Investigator* means the single individual designated by the grantee in the grant application and approved by the Administrator who is responsible for the scientific and technical direction of the project.

(11) *Project period* means the total length of time that is approved by the Administrator for conducting the research project as outlined in an approved grant application.

(12) *Secretary* means the Secretary of Agriculture and any other officer or employee of the Department to whom the authority involved may be delegated.

C. Eligibility

Proposals may be submitted by State agricultural experiment stations, all colleges and universities, other research institutions and organizations, Federal agencies, private organizations or corporations, and individuals. Although an applicant may be eligible based on its status as one of these entities, other factors may exclude an applicant from receiving Federal assistance under this program (e.g., debarment or suspension, a determination of non-responsibility based on submitted organizational management information).

Part II—Program Description*A. Purpose and Scope of the Program*

Proposals are invited for competitive grant awards under the Special Research Grants Program, Citrus Tristeza Research for fiscal year (FY) 2001. The purpose of this grant program is to support research that focuses on problems caused by CTV and the Brown Citrus Aphid. This research should aim to facilitate promising breakthroughs in this important area of the food and agricultural sciences.

CTV is a pathogen of citrus vectored by several aphid species. This disease has been found in all the citrus producing regions of the United States and is of world-wide importance. The virus strain complex can cause a variety of symptoms, from mild to severe, depending upon the host and its environment. A new aphid vector, the Brown Citrus Aphid was introduced in Florida. This vector is capable of transmitting a severe stem-pitting form of the virus. The Brown Citrus Aphid also occurs in Central America and the Caribbean Basin and thus poses a threat to citrus in other citrus producing areas in the United States (e.g., Louisiana, Texas, Arizona, and California).

The research priority areas that have been identified are (1) characterization and detection of CTV strains; (2) biology and control of the Brown Citrus Aphid; (3) host plant resistance, including scion and rootstock development; (4) epidemiology and crop loss assessment; and (5) development of cross-protecting CTV strains.

B. Available Funds and Award Limitations

Funds will be awarded on a competitive basis to support research projects that focus on solving problems caused by the CTV and Brown Citrus Aphid. The total amount of funds available in FY 2001 for support of this program is approximately \$595,000. Each proposal submitted in FY 2001 shall request funding for a period not to

exceed two years. FY 2001 awardees must submit new proposals and recompile to receive additional funding at the expiration of their current grant.

C. Applicant Peer Review Requirements

Subsection (c)(5)(A) of the Competitive, Special, and Facilities Research Grant Act, as amended (7 U.S.C. 450i(c)(5)(A)) requires applicants to conduct a scientific peer review of a proposed research project in accordance with regulations promulgated by the Secretary prior to the Secretary making a grant award under this authority. Regulations implementing this requirement are set forth in 7 CFR 3400.20 and 3400.21. The regulations impose the following requirements for scientific peer review by applicants of proposed research projects:

1. Credible and independent. Review arranged by the grantee must provide for a credible and independent assessment of the proposed project. A credible review is one that provides an appraisal of technical quality and relevance sufficient for an organizational representative to make an informed judgment as to whether the proposal is appropriate for submission for Federal support. To provide for an independent review, such review may include USDA employees, but should not be conducted solely by USDA employees.

2. Notice of completion and retention of records. A notice of completion of the review shall be conveyed in writing to CSREES either as part of the submitted proposal or prior to the issuance of an award, at the option of CSREES (see Part III. B.(2)(i)). The written notice constitutes certification by the applicant that a review in compliance with these regulations has occurred. Applicants are not required to submit results of the review to CSREES; however, proper documentation of the review process and results should be retained by the applicant.

3. Renewal and supplemental grants. Review by the grantee is not automatically required for renewal or supplemental grants as defined in 7 CFR 3400.6. A subsequent grant award will require a new review if, according to CSREES, either the funded project has changed significantly, other scientific discoveries have affected the project, or the need for the project has changed. Note that a new review is necessary when applying for another standard or continuation grant after expiration of the grant term.

Part III—Preparation of a Proposal

A. Program Application Materials

Program application materials will be made available to interested entities upon request. These materials include information about the purpose of the program, how the program will be conducted, and the required contents of a proposal, as well as the forms needed to prepare and submit grant applications under the program. The application kit can be downloaded from the Internet at the following website: <http://www.reeusda.gov/1700/funding/ourfund.htm> or may be obtained by writing or calling the following office: Proposal Services Unit; Office of Extramural Programs; Cooperative State Research, Education, and Extension Service; U.S. Department of Agriculture; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245; Telephone: (202) 401-5048. When contacting the Proposal Services Unit, please indicate that you are requesting application materials for the FY 2001 Special Research Grants Program, Citrus Tristeza Research. Application materials also may be requested via Internet by sending a message with your name, mailing address (not e-mail) and telephone number to psb@reeusda.gov that states that you wish to receive a copy of the application materials for the FY 2001 Special Research Grants Program, Citrus Tristeza Research. The materials will then be mailed to you (not e-mailed) as quickly as possible.

B. Content of a Proposal

(1) General

The proposal should follow these guidelines, enabling reviewers to more easily evaluate the merits of each proposal in a systematic, consistent fashion:

(a) The proposal should be prepared on only one side of the page using standard size (8 1/2" x 11") white paper, one inch margins, typed or word processed using no type smaller than 12 point font regardless of whether it is single or double spaced. Use an easily readable font face (e.g., Geneva, Helvetica, CG Times). Once accepted for review, your proposal will be read by at least three expert reviewers. Thus it is to your advantage to ensure that your proposal is not difficult to read.

(b) Each page of the proposal, including the Project Summary, budget pages, required forms, and appendices, should be numbered sequentially in the upper right-hand corner.

(c) The proposal should be stapled in the upper left-hand corner. Do not bind. An original and 9 copies (10 total) must

be submitted in one package, along with 20 copies of the Project Summary as a separate attachment.

(2) Cover Page

Complete Form CSREES-661, Application for Funding, in its entirety. This form is to be utilized as the Cover Page. Form CSREES-661 serves as a source document for the CSREES grant database; it is therefore important that it be completed accurately.

(a) In Block 6, complete the title of the project. The project title must be brief (80-character maximum), yet represent the major thrust of the effort being proposed. Project titles are read by a variety of nonscientific people; therefore, highly technical words or phraseology should be avoided where possible. In addition, introductory phrases such as "investigation of" or "research on" should not be used.

(b) Blocks 7 and 8 should be completed to read "Special Research Grants Program, Citrus Tristeza Research."

(c) In Block 13, the Type of Award Request is "new."

(d) In Block 14, note the total amount of Federal dollars being requested.

(e) In Block 15, designate Principal Investigator(s)/Project Directors(s) (PI/PD). Listing multiple co-PIs beyond those required for genuine collaboration is discouraged. Note that providing a Social Security Number is voluntary, but is an integral part of the CSREES information system and will assist in the processing of the proposal.

(f) Type of Performing Organization (Block 18). A check should be placed in the box beside the type of organization which actually will carry out the effort. For example, if the proposal is being submitted by an 1862 Land-Grant institution but the work will be performed in a department, laboratory, or other organizational unit of an agricultural experiment station, box "03" should be checked. If portions of the effort are to be performed in several departments, check the box that applies to the individual listed as PI/PD #1 in Block 15.a.

(g) In Block 22 list the names or acronyms of all other public or private sponsors including other agencies within USDA and other programs funded by CSREES to whom your application has been or will be sent. In the event you decide to send your application to another organization or agency at a later date, you must inform the identified CSREES program manager as soon as practicable. Submitting your proposal to other potential sponsors will not prejudice its review by CSREES;

however, duplicate support for the same project will not be provided.

(h) The original copy of the Application for Funding form must contain the pen-and-ink signatures of the PI/PD(s) and authorized organizational representative for the applicant organization.

(i) By signing the Application for Funding form, the AOR of the applicant institution is providing the required certification that the full proposal has received a credible and independent peer review arranged by the institution (see Part II. C.).

(j) Note that by signing the Application for Funding form, the applicant is also providing the required certifications set forth in 7 CFR Part 3017, regarding Debarment and Suspension and Drug-Free Workplace, and 7 CFR Part 3018, regarding Lobbying. The three certification forms are included in this application package for informational purposes only. It is not necessary to sign and submit the forms to USDA as part of the proposal.

(3) Table of Contents

For consistency and ease in locating information, each proposal must contain a detailed Table of Contents just after the Cover Page. The Table of Contents should include page numbers for each component of the proposal. Page numbers, shown in the upper right-hand corner, should begin with the first page of the Project Summary.

(4) Project Summary

The proposal must contain a Project Summary of 250 words or less on a separate page. The summary must be self-contained and describe the overall goals and relevance of the project. The summary should also contain a listing of the major organizations participating in the project. The Project Summary should immediately follow the Table of Contents. In addition to the summary, this page must include the title of the project, the name of the applicant organization, the authorized organizational representative, and the PI(s), followed by the summary.

(5) Project Narrative

Note: The Project Narrative shall not exceed 10 pages. To ensure fair and equitable competition, reviewers are instructed that they need to read only the first 10 pages of the Project Narrative and to ignore information on additional pages. The Project Narrative should contain the following items:

(a) Objectives—Clear, concise, complete, and logically arranged statement(s) of the specific aims of the

proposed effort must be included in all proposals.

(b) Procedures—The procedures or methodology to be applied to the proposed effort should be explicitly stated. This section should include but not necessarily be limited to a description of the proposed investigations and/or experiments in the sequence in which it is planned to carry them out; techniques to be employed, including their feasibility; kinds of results expected; means by which data will be analyzed or interpreted; pitfalls which might be encountered; and limitations to proposed procedures.

(c) Justification—This section should include in-depth information on the magnitude of the problem and its relevance to ongoing food and agricultural research programs; the importance of starting the work during the current fiscal year, and reasons for having the work performed by the proposing institution.

(d) Cooperation and Institutional Units Involved—Cooperative and multi-State applications are encouraged. Identify each institutional unit contributing to the project. Identify each State in a multiple-State proposal and designate the lead State. When appropriate, the project should be coordinated with the efforts of other State and/or national programs. Clearly define the roles and responsibilities of each institutional unit of the project team, if applicable.

If it will be necessary to enter into formal consulting or collaborative arrangements with other individuals or organizations, such arrangements should be fully explained and justified. For purposes of proposal development, informal day-to-day contacts between key project personnel and outside experts are not considered to be collaborative arrangements and thus do not need to be detailed.

All anticipated subcontractual arrangements also should be explained and justified in this section. A proposed statement of work, budget, and budget narrative for each arrangement involving the transfer of substantive programmatic work or the providing of financial assistance to a third party must be provided. Agreements between departments or other units of your own institution and minor arrangements with entities outside of your institution (*e.g.*, requests for outside laboratory analyses) are excluded from this requirement. If you expect to enter into subcontractual arrangements, please note that the provisions contained in 7 CFR Part 3019, USDA Uniform Administrative Requirements for Grants and Agreements with Institutions of

Higher Education, Hospitals, and Other Non-Profit Organizations, and the general provisions contained in 7 CFR 3015.205, USDA Uniform Federal Assistance Regulations, flow down to subcontractors. In addition, when applicable, required clauses from 7 CFR 3019.40 through 3019.48 (“Procurement Standards”) and appendix A (“Contract Provisions”) should be included in final contractual documents, and it is necessary for the subcontractor to make a certification relating to debarment/suspension.

(e) Literature Review—A summary of pertinent publications with emphasis on their relationship to the effort being proposed should be provided and should include all important and recent publications from other institutions, as well as those from the applicant institution. The citations themselves should be accurate, complete, and written in an acceptable journal format.

(f) Current Work—Current unpublished institutional activities to date in the program area under which the proposal is being submitted should be described.

(g) Facilities and Equipment—All facilities which are available for use or assignment to the project during the requested period of support should be reported and described briefly. Any potentially hazardous materials, procedures, situations, or activities, whether or not directly related to a particular phase of the effort, must be explained fully, along with an outline of precautions to be exercised. Examples include work with toxic chemicals and experiments that may put human subjects or animals at risk.

All items of major instrumentation available for use or assignment to the proposed project should be itemized. In addition, items of nonexpendable equipment not currently accessible and needed to conduct and bring the project to a successful conclusion should be listed, including dollar amounts and, if funds are requested for their acquisition, justified.

(h) Project Timetable—The proposal should outline all important phases as a function of time, year by year, for the entire project, including periods beyond the grant funding period.

(6) Key Personnel

All senior personnel who are expected to be involved in the effort must be clearly identified. For each person, the following should be included:

(a) An estimate of the time commitment involved; and

(b) vitae of all key persons who are expected to work on the project,

whether or not CSREES funds are sought for their support. Each vitae should be limited to two (2) pages in length, excluding publications listings. A chronological list of the most representative publications during the past five (5) years must be provided for each professional project member for whom a vitae appears. Authors should be listed in the same order as they appear on each paper cited, along with the title and complete reference as these usually appear in journals.

(7) Conflict-of-Interest List

A separate Conflict-of-Interest List form (Form CSREES-1233) must be submitted for each investigator for whom a curriculum vitae is required. This form is necessary to assist program staff in excluding from proposal review those individuals who have conflicts-of-interest with the project personnel in the grant proposal. The Program Manager must be informed of additional conflicts-of-interest that arise after the proposal has been submitted.

(8) Budget

A detailed budget for each year of requested support must be submitted. In addition, a cumulative budget is required detailing requested support for the overall project period. The budget form may be reproduced as needed by applicants. Funds may be requested under any of the categories listed on the form, provided that the item or service for which support is requested is allowable under the authorizing legislation, the applicable Federal cost principles, and these program guidelines, and can be justified as necessary for the successful conduct of the proposed project. Applicants must also include a budget narrative to explain and justify their budgets. The following guidelines should be used in developing the proposal budget(s):

(a) Salaries and Wages—Salaries and wages are allowable charges and may be requested for personnel who will be working on the project in proportion to the time such personnel will devote to the project. If salary funds are requested, the number of Senior and Other Personnel and the number of CSREES Funded Work Months must be shown in the spaces provided. Grant funds may not be used to augment the total salary or rate of salary of project personnel or to reimburse them for time in addition to a regular full-time salary covering the same general period of employment. Salary funds requested must be consistent with the normal policies of the institution and with the applicable OMB Cost Principles. Administrative and clerical salaries are normally

classified as indirect costs. (See Item i. below.) However, if requested under A.2.e., they must be fully justified.

(b) Fringe Benefits—Funds may be requested for fringe benefit costs if the usual accounting practices of your institution provide that institutional contributions to employee benefits (social security, retirement, etc.) be treated as direct costs. Fringe benefit costs may be included only for those personnel whose salaries are charged as a direct cost to the project. See, e.g., OMB Circular No. A-21, Cost Principles for Educational Institutions, for further guidance in this area.

(c) Nonexpendable Equipment—Nonexpendable equipment means tangible nonexpendable personal property including exempt property charged directly to the award having a useful life of more than one year and an acquisition cost of \$5,000 or more per unit. (However, institutions may establish lower limits.) As such, items of necessary instrumentation or other nonexpendable equipment should be listed individually by description and estimated cost in the budget narrative. This applies to revised budgets as well, as the equipment item(s) and amount(s) may change.

Note: For projects awarded under the authority of subsection (c)(1)(A) of the Competitive, Special, and Facilities Research Grant Act, no funds will be awarded for the renovation or refurbishment of research spaces; the purchase or installation of fixed equipment in such spaces; or for the planning, repair, rehabilitation, acquisition, or construction of a building or facility.

(d) Materials and Supplies—The types of expendable materials and supplies which are required to carry out the project should be indicated in general terms with estimated costs in the budget narrative.

(e) Travel—The type and extent of travel and its relationship to project objectives should be described briefly and justified. If travel is proposed, provide the purpose, the destination, method of travel, number of persons traveling, number of days, and estimated cost for each trip. If details of a trip are not known at the time of proposal submission, provide a basis for determining the amount requested. Airfare allowances normally will not exceed round-trip jet economy air accommodations. U.S. flag carriers must be used when available. See 7 CFR 3015.205(b)(4) for further guidance.

(f) Publication Costs/Page Charges—Anticipated costs of preparing and publishing results of the research being proposed (including page charges, necessary illustrations, and the cost of a reasonable number of coverless reprints)

may be estimated and charged against the grant.

(g) Computer (ADPE) Costs—Reimbursement for the costs of using specialized facilities (such as a university or department-controlled computer mainframe or data processing center) may be requested if such services are required for completion of the work.

(h) All Other Direct Costs—Anticipated direct project charges not included in other budget categories must be itemized with estimated costs and justified in the budget narrative. This applies to revised budgets as well, as the item(s) and dollar amount(s) may change. Examples include space rental at remote locations, subcontractual costs, charges for consulting services, telephone, facsimile, e-mail, shipping costs, and fees for necessary laboratory analyses. You are encouraged to consult the "Instructions for Completing Form CSREES-55, Budget," of the Application Kit for detailed guidance relating to this budget category.

(i) Indirect Costs—The recovery of indirect costs under this program may not exceed the lesser of the grantee institution's official negotiated indirect cost rate or pursuant to section 1462 of National Agricultural Research, Extension, and Teaching Policy Act, 7 U.S.C. 3310, the equivalent of 19 percent of total Federal funds awarded. (An alternative method to calculate this limitation is to multiply total direct costs by 23.456 percent.) This limitation also applies to any subcontractor, and should be reflected in the subcontractor's budget.

(j) Cost-sharing—Cost-sharing is encouraged; however, cost-sharing is not required.

(9) Budget Narrative

All budget categories for which support is requested, must be individually listed (with costs) and justified on a separate sheet of paper and placed immediately behind the Budget Form.

(10) Current and Pending Support

All proposals must list any other current public or private support (including in-house support) to which key personnel identified in the proposal have committed portions of their time, whether or not salary support for person(s) involved is included in the budget for each project. Analogous information must be provided for any pending proposals that are being considered by, or that will be submitted in the near future to, other possible sponsors, including other USDA programs or agencies. Concurrent

submission of identical or similar proposals to other possible sponsors will not prejudice proposal review or evaluation by the Administrator for this purpose. However, a proposal that duplicates or overlaps substantially with a proposal already reviewed and funded (or that will be funded) by another organization or agency will not be funded under this program. The application material includes Form CSREES-663, Current and Pending Support, which should be used for listing current and pending support. Note that the project being proposed should be included in the pending section of the form.

(11) Assurance Statement(s) (Form CSREES-662)

A number of situations encountered in the conduct of projects require special assurance, supporting documentation, etc., before funding can be approved for the project. In addition to any other situation that may exist with regard to a particular project, it is expected that some applications submitted in response to these guidelines will include the following:

(a) Recombinant DNA or RNA Research. As stated in 7 CFR 3015.205(b)(3), all key personnel identified in the proposal and all endorsing officials of the proposing organization are required to comply with the guidelines established by the National Institutes of Health entitled, "Guidelines for Research Involving Recombinant DNA Molecules," as revised. If your project proposes to use recombinant DNA or RNA techniques, the application must so indicate by checking the "yes" box in Block 19 of Form CSREES-661 and by completing Section A of Form CSREES-662. For applicable proposals recommended for funding, Institutional Biosafety Committee approval is required before CSREES funds will be released.

(b) Animal Care. Responsibility for the humane care and treatment of live vertebrate animals used in any grant project supported with funds provided by CSREES rests with the performing organization. Where a project involves the use of living vertebrate animals for experimental purposes, all key project personnel and all endorsing officials of the proposing organization are required to comply with the applicable provisions of the Animal Welfare Act, as amended (7 U.S.C. 2131 *et seq.*) and the regulations promulgated thereunder by the Secretary in 9 CFR parts 1, 2, 3, and 4 pertaining to the care, handling, and treatment of these animals. If your project will involve these animals or activities, you must check the "yes" box

in Block 20 of Form CSREES-661 and complete Section B of Form CSREES-662. In the event a project involving the use of live vertebrate animals results in a grant award, funds will be released only after the Institutional Animal Care and Use Committee has approved the project.

(c) Protection of Human Subjects. Responsibility for safeguarding the rights and welfare of human subjects used in any grant project supported with funds provided by CSREES rests with the performing organization. Guidance on this issue is contained in the National Research Act, Pub. L. 93-348, as amended, and implementing regulations established by the Department under 7 CFR part 1c. If you propose to use human subjects for experimental purposes in your project, you should check the "yes" box in Block 21 of Form CSREES-661 and complete Section C of Form CSREES-662. In the event a project involving human subjects results in a grant award, funds will be released only after the appropriate Institutional Review Board has approved the project.

(12) Compliance With the National Environmental Policy Act (NEPA)

As outlined in 7 CFR part 3407 (the Cooperative State Research, Education, and Extension Service regulations implementing NEPA), the environmental data for any proposed project is to be provided to CSREES so that CSREES may determine whether any further action is needed. In most cases, based on previously funded projects, the preparation of environmental data is not usually required. Certain categories of actions are excluded from the requirements of NEPA.

In order for CSREES to determine whether any further action is needed with respect to NEPA, pertinent information regarding the possible environmental impacts of a particular project is necessary; therefore, Form CSREES-1234, NEPA Exclusions Form, must be included in the proposal indicating whether the applicant is of the opinion that the project falls within a categorical exclusion and the reasons therefor. If it is the applicant's opinion that the proposed project falls within the categorical exclusions, the specific exclusion must be identified. Form CSREES-1234 and supporting documentation should be the last page of the proposal.

Even though a project may fall within the categorical exclusions, CSREES may determine that an Environmental Assessment or an Environmental Impact Statement is necessary for an activity.

This will be the case if substantial controversy on environmental grounds exists or if other extraordinary conditions or circumstances are present which may cause such activity to have a significant environmental effect. However, this rarely occurs.

Part IV—Submission of a Proposal

A. What To Submit

An original and nine copies of the complete proposal must be submitted. Each copy of the proposal must be stapled in the upper left-hand corner. DO NOT BIND. In addition, submit 20 copies of the proposal's Project Summary. All copies of the proposal and Project Summary must be submitted in one package.

B. Where and When To Submit

Proposals must be received on or before February 15, 2001. Proposals that are hand-delivered, delivered by courier, or sent via overnight delivery services must be sent or delivered to: Special Research Grants Program, Citrus Tristeza Research; c/o Proposal Services Unit; Office of Extramural Programs; USDA/CSREES; Room 1307, Waterfront Centre; 800 9th Street, SW.; Washington, DC 20024; Telephone: (202) 401-5048.

Note: Applicants are strongly encouraged to submit their completed proposals via overnight mail or delivery services to ensure timely receipt by the USDA.

Proposals sent via the U.S. Postal Service must be sent to the following address: Special Research Grants Program, Citrus Tristeza Research; c/o Proposal Services Unit; Office of Extramural Programs; USDA/CSREES; STOP 2245; 1400 Independence Avenue, SW.; Washington, DC 20250-2245; Telephone: (202) 401-5048.

C. Acknowledgment of Proposals

The receipt of all proposals will be acknowledged by e-mail, therefore applicants are encouraged to provide e-mail addresses, where designated, on the Form CSREES-661. The acknowledgment will contain an identifying proposal number. Once your proposal has been assigned a proposal number, please cite that number in future correspondence.

Part V—Selection Process and Evaluation Criteria

A. Selection Process

Applicants should submit fully developed proposals that meet all the requirements set forth in this RFP.

Each proposal will be evaluated in a two-part process. First, each proposal will be screened to ensure it meets the

requirements as set forth in this RFP. Proposals not meeting the requirements as set forth in this RFP will not be considered for funding. However, USDA retains the right to conduct discussions with applicants to resolve technical and/or budget issues as it deems necessary. Second, each proposal that meets the requirements will be technically evaluated by a peer review panel.

The individual peer panel members will be selected from among those recognized as specialists who are uniquely qualified by training and experience in their respective fields to render expert advice on the merit of proposals being reviewed. The individual reviews of the panel members will be used to determine which proposals should be recommended to the Administrator (or his designee) for final funding decisions.

There is no commitment by USDA to fund any particular proposal or to make a specific number of awards. Care will be taken to avoid actual, potential, and/or the appearance of conflicts of interest among reviewers. Evaluations will be confidential to USDA staff members, peer reviewers, and the principal investigator(s), to the extent permitted by law.

The specificity of these organisms and their host limits the areas in which relevant research can be carried out. The brown citrus aphid has recently been introduced into the citrus growing areas of Florida. Research on both the virus/aphid and on field biology of the aphid is largely conducted in the areas of Florida and Puerto Rico where it is established. CSREES anticipates that the expertise necessary to review proposals will be found at organizations in these geographic areas. Therefore, conflict-of-interest rules will be amended to allow reviewers to evaluate submitted proposals from their own university as long as the applicant and reviewer do not work on the same campus. Thus, for this program, the scientists from the University of Florida but from other campuses (*i.e.*, Research and Education Centers) are not considered to be in conflict.

B. Evaluation Criteria

The evaluation of proposals will be based on the following criteria, weighted relative to each other as noted in the parentheses following each criterion listed.

- (1) Overall scientific and technical quality of the proposal (15 points);
- (2) Scientific and technical quality of the approach (10 points);

(3) Relevance and importance of proposed research to solution of specific areas of inquiry, and application of expected results for States in which the grantee resides and will perform the work (30 points);

(4) Feasibility of attaining objectives; adequacy of professional training and experience, facilities and equipment (40 points);

(5) The appropriateness of the level of funding requested (5 points).

Part VI—Supplementary Information

A. Access To Review Information

Copies of summary reviews will be sent to the applicant principle investigator automatically, as soon as possible after the review process has been completed. The identity of the individual peer reviewers will not be provided.

B. Grant Awards

(1) General

Within the limit of funds available for such purpose, the awarding official of CSREES shall make grants to those responsible, eligible applicants whose proposals are judged most meritorious under the procedures set forth in this RFP. The date specified by the Administrator as the effective date of the grant shall be no later than September 30 of the Federal fiscal year in which the project is approved for support and funds are appropriated for such purpose, unless otherwise permitted by law. It should be noted that the project need not be initiated on the grant effective date, but as soon thereafter as practical so that project goals may be attained within the funded project period. All funds granted by CSREES under this RFP shall be expended solely for the purpose for which the funds are granted in accordance with the approved application and budget, the regulations, the terms and conditions of the award, the applicable Federal cost principles, and the Department's assistance regulations (parts 3015, 3016, and 3019 of 7 CFR).

(2) Organizational Management Information

Specific management information relating to an applicant shall be submitted on a one-time basis as part of the responsibility determination prior to the award of a grant identified under this part if such information has not been provided previously under this or another program for which the sponsoring agency is responsible. Copies of forms recommended for use in fulfilling the requirements contained in

this section will be provided by the sponsoring agency as part of the preaward process.

(3) Grant Award Document and Notice of Grant Award

The grant award document shall include at a minimum the following:

(a) Legal name and address of performing organization or institution to whom the Administrator has awarded a grant under the terms of this RFP;

(b) Title of project;

(c) Name(s) and address(es) of principal investigator(s) chosen to direct and control approved activities;

(d) Identifying grant number assigned by the Department;

(e) Project period, specifying the amount of time the Department intends to support the project without requiring recompetition for funds;

(f) Total amount of Departmental financial assistance approved by the Administrator during the project period;

(g) Legal authority(ies) under which the grant is awarded;

(h) Approved budget plan for categorizing allocable project funds to accomplish the stated purpose of the grant award; and

(i) Other information or provisions deemed necessary by CSREES to carry out its respective granting activities or to accomplish the purpose of a particular grant.

The notice of grant award, in the form of a letter, will be prepared and will provide pertinent instructions or information to the grantee that is not included in the grant award document.

CSREES will award standard grants to carry out this program. A standard grant is a funding mechanism whereby CSREES agrees to support a specified level of effort for a predetermined time period without additional support at a future date.

C. Use of Funds; Changes

(1) Delegation of Fiscal Responsibility

Unless the terms and conditions of the grant state otherwise, the grantee may not in whole or in part delegate or transfer to another person, institution, or organization the responsibility for use or expenditure of grant funds.

(2) Changes in Project Plans

(a) The permissible changes by the grantee, principal investigator(s), or other key project personnel in the approved project grant shall be limited to changes in methodology, techniques, or other aspects of the project to expedite achievement of the project's approved goals. If the grantee and/or the principal investigator(s) are uncertain as

to whether a change complies with this provision, the question must be referred to the CSREES Authorized Departmental Officer (ADO) for a final determination.

(b) Changes in approved goals or objectives shall be requested by the grantee and approved in writing by the CSREES ADO prior to effecting such changes. In no event shall requests for such changes be approved which are outside the scope of the original approved project.

(c) Changes in approved project leadership or the replacement or reassignment of other key project personnel shall be requested by the grantee and approved in writing by the awarding official of CSREES prior to effecting such changes.

D. Applicable Federal Statutes and Regulations

This program is subject to the administrative provisions for the Special Research Grants Program found in 7 CFR part 3400, which set forth procedures to be followed when submitting grant proposals, the processes regarding the awarding of grants, and regulations relating to the post-award administration of such grants. However, where there are differences between this RFP and the administrative provisions, this RFP

shall take precedence to the extent that the administrative provisions authorize such deviations.

Several other Federal statutes and regulations apply to grant proposals considered for review and to project grants awarded under this program. These include but are not limited to:

7 CFR part 3019—USDA implementation of OMB Circular A-110, Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations.

7 CFR part 3052—USDA implementation of OMB Circular No. A-133, Audits of States, Local Governments, and Non-profit Organizations.

E. Confidential Aspects of Proposals and Awards

When a proposal results in a grant, it becomes a part of the record of the Agency's transactions, available to the public upon specific request. Information that the Secretary determines to be of a privileged nature will be held in confidence to the extent permitted by law. Therefore, any information that the applicant wishes to have considered as privileged should be clearly marked as such and sent in a

separate statement, two copies of which should accompany the proposal. The original copy of a proposal that does not result in a grant will be retained by the Agency for a period of one year. Other copies will be destroyed. Such a proposal will be released only with the consent of the applicant or to the extent required by law. A proposal may be withdrawn at any time prior to the final action thereon.

F. Regulatory Information

For the reasons set forth in the final Rule-related Notice to 7 CFR part 3015, subpart V (48 FR 29115, June 24, 1983), this program is excluded from the scope of the Executive Order 12372 which requires intergovernmental consultation with State and local officials. Under the provisions of the Paperwork Reduction Act of 1995, as amended (44 U.S.C. chapter 35), the collection of information requirements contained in this Notice have been approved under OMB Document No. 0524-0022.

Done at Washington, DC, this 11th day of January 2001.

Colien Hefferan,

Administrator, Cooperative State Research, Education, and Extension Service.

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